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A generalization of the Funk-Radon transform Chemnitz University of Technology, Faculty of Mathematics

## A generalization of the Funk-Radon transform

#### Michael Quellmalz

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#### Radon-type transforms: Basis for Emerging Imaging 100 Years of the Radon Transform Linz, March 30, 2017



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#### 1. Funk-Radon transform

Introduction Properties Known results

## 2. Funk-Radon transform for slices through a fixed point

Definition Decomposition Geometric interpretation Properties



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#### 1. Funk-Radon transform Introduction Properties Known results

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• Sphere 
$$S^2 = \{ \xi \in \mathbb{R}^3 : \|\xi\| = 1 \}$$

- Function  $f: \mathbb{S}^2 \to \mathbb{C}$
- ► Circle is the intersection of S<sup>2</sup> with a plane:

$$\{\boldsymbol{\eta}\in\mathbb{S}^2:\langle\boldsymbol{\xi},\boldsymbol{\eta}
angle=x\},$$

$$\boldsymbol{\xi} \in \mathbb{S}^2, \ x \in [-1, 1]$$



#### Spherical means

$$\begin{split} \mathcal{S} &: C(\mathbb{S}^2) \to C(\mathbb{S}^2 \times [-1,1]), \\ \mathcal{S}f(\boldsymbol{\xi}, \boldsymbol{x}) &= \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = \boldsymbol{x}} f(\boldsymbol{\eta}) \, \mathrm{d} \boldsymbol{\lambda}(\boldsymbol{\eta}) \end{split}$$



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## Funk-Radon transform

- Restriction to great circles
- Funk-Radon transform (a.k.a. Funk transform or spherical Radon transform)

$$\begin{split} \mathcal{F} \colon C(\mathbb{S}^2) &\to C(\mathbb{S}^2), \\ \mathcal{F}f(\boldsymbol{\xi}) &= \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = 0} f(\boldsymbol{\eta}) \, \mathrm{d}\lambda(\boldsymbol{\eta}) \end{split}$$

#### [Funk, 1911]



#### Questions

- 1. Injectivity (Can we reconstruct *f* from its means along all great circles?)
- **2**. Range of  $\mathcal{F}$



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## Fourier series

Write  $f \in L^2(\mathbb{S}^2)$  with respect to spherical harmonics  $Y_n^k$  of degree n

$$f = \sum_{n=0}^{\infty} \sum_{k=-n}^{n} \hat{f}(n,k) Y_n^k.$$

#### Eigenvalue decomposition

[Minkowski, 1904]

The Funk-Radon transform

$$\mathcal{F}Y_n^k(\boldsymbol{\xi}) = P_n(0)Y_n^k(\boldsymbol{\xi}), \qquad P_n(0) = \begin{cases} \frac{(n-1)!!}{n!!}, & n \text{ even}, \\ 0, & n \text{ odd}. \end{cases}$$

 $P_n$  – Legendre polynomial of degree n

Funk–Radon transform is injective for even functions  $f(\boldsymbol{\xi}) = f(-\boldsymbol{\xi})$ .



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## Sobolev spaces

Let  $s \ge 0$ . The Sobolev space  $H^s(\mathbb{S}^2)$  consists of functions  $f : \mathbb{S}^2 \to \mathbb{C}$  with norm

$$||f||_{s}^{2} = \sum_{n=0}^{\infty} \sum_{k=-n}^{n} \left| \hat{f}(n,k) \right|^{2} \left( n + \frac{1}{2} \right)^{2s}$$

#### Theorem

[Strichartz, 1981]

The Funk-Radon transform is continuous and bijective

$$\mathcal{F}\colon L^2_{\text{even}}(\mathbb{S}^2) \to H^{\frac{1}{2}}_{\text{even}}(\mathbb{S}^2).$$

#### • Inversion of $\mathcal{F}$ is incorrect of degree $\frac{1}{2}$



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For  $x_0 \in [-1, 1]$  fixed, we define

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#### "Freak theorem

[Schneider, 1969]

The set of values  $x_0$  for which  $S_{x_0}$  is **not** injective is countable and dense in [-1, 1].

#### $S_{x_0}: L^2(\mathbb{S}^2) \to H^{\frac{1}{2}}(\mathbb{S}^2)$ is continuous Explicit algorithm to determine if $S_{x_0}$ is injective for given $x_0$

#### [Rubin, 2000]



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$$\mathcal{S}f(\boldsymbol{\xi}, x) = \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = x} f(\boldsymbol{\eta}) \, \mathrm{d}s(\boldsymbol{\eta}), \qquad \xi_3 = 0$$



#### Circles perpendicular to the equator

 Injective for symmetric functions

 $f(\xi_1, \xi_2, \xi_3) = f(\xi_1, \xi_2, -\xi_3)$ 

- Proof1: Orthogonal projection onto the equatorial plane [Gindikin, Reeds & Shepp, 1994]
- Proof2: Spherical harmonics [Hielscher & Q., 2016]



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## Local two-radii problem

- B<sub>R</sub> = {ξ ∈ S<sup>2</sup> : ξ<sub>3</sub> > cos R}
   ... spherical cap north of the circle of latitude R ∈ (0, π]
- ▶ r<sub>1</sub>, r<sub>2</sub> < R ... latitudes of centers</p>



#### Theorem

#### [Volchkov & Volchkov, 2014]

#### Then

$$Sf(\boldsymbol{\xi}, t) = 0, \quad \xi_{d+1} = \cos r_j, \ t > \cos(R - r_j), \ j \in \{1, 2\}$$

implies f = 0 for all  $f \in L^1_{\text{loc}}(B_R)$  if and only if  $R \ge r_1 + r_2$  and

$$P_{\nu}^{k}(\cos r_{1})^{2} + P_{\nu}^{k}(\cos r_{2})^{2} > 0 \qquad \forall k \in \mathbb{N}_{0}, \ \nu > k.$$

- ▶  $S^d \dots d$ -dimensional sphere
- ▶  $\mathbb{R}^{d+1}$  ... (d+1)-dimensional ambient space
- Great circle becomes (d-1)-dimensional subsphere
- $\blacktriangleright \ \ {\rm For} \ f \in C({\mathbb S}^d) \text{, we set}$

$$\mathcal{F}f(\boldsymbol{\xi}) = \int_{\mathbb{S}^d \cap \{ \langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = 0 \}} f(\boldsymbol{\eta}) \, \mathrm{d} \mu$$

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[Strichartz, 1981]

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## Slices through a fixed point Replace 0 by

$$z e^{d+1}, \qquad 0 \le z < 1$$

#### inside the sphere.

Intersection with hyperplane through  $ze^{d+1}$  is given by

 $\{\boldsymbol{\eta} \in \mathbb{S}^d : \langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = z \xi_{d+1} \}.$ 

#### Definition

$$\mathcal{U}_z \colon C(\mathbb{S}^d) \to C(\mathbb{S}^d),$$
$$\mathcal{U}_z f(\boldsymbol{\xi}) = \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = z \xi_{d+1}} f(\boldsymbol{\eta}) \, \mathrm{d}\lambda(\boldsymbol{\eta})$$



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Let 
$$z \in [0, 1)$$
,  $\sigma = \sqrt{\frac{1+z}{1-z}}$  and  $f \in C^1(\mathbb{S}^d)$  be supported in the interior of  $\{\boldsymbol{\xi} \in \mathbb{S}^d : -1 \leq \xi_{d+1} \leq z\}$ . Then  $f$  can be reconstructed by  $(f \circ \pi^{-1}) \left(\frac{2\sigma x}{1+\sqrt{1+4|\boldsymbol{x}|^2}}\right) = \left\{ \begin{array}{l} \frac{(-1)^{\frac{d-2}{2}}(1-z)\sqrt{1+4|\boldsymbol{x}|^2}}{2^{3d-2}\pi^d\sigma^{d-5}} \left(\frac{(1+\sqrt{1+4|\boldsymbol{x}|^2})^2+4\sigma^2|\boldsymbol{x}|^2}{1+\sqrt{1+4|\boldsymbol{x}|^2}}\right)^{d-1} \\ \Delta_x^{\frac{d}{2}} \int_{\mathbb{S}^{d-1}} \int_0^{\frac{\pi}{2}} (\mathcal{U}_z f)((\cos\theta)\phi + (\sin\theta)\epsilon^{d+1}) \log \left| \boldsymbol{x} \cdot \phi - \frac{1}{2}\sqrt{1-z^2} \tan\theta \right| \frac{d\theta d\phi}{\sqrt{1-z^2\sin^2\theta}\cos\theta}, \\ d \geq 2 \text{ even} \\ \frac{(-1)^{\frac{d-1}{2}}(1-z)\sqrt{1+4|\boldsymbol{x}|^2}}{2^{3d-1}\pi^{d-1}\sigma^{d-2}} \left(\frac{(1+\sqrt{1+4|\boldsymbol{x}|^2})^2+4\sigma^2|\boldsymbol{x}|^2}{1+\sqrt{1+4|\boldsymbol{x}|^2}}\right)^{d-1} \\ \Delta_x^{\frac{d+1}{2}} \int_{\mathbb{S}^{d-1}} \int_0^{\frac{\pi}{2}} (\mathcal{U}_z f)((\cos\theta)\phi + (\sin\theta)\epsilon^{d+1}) \left| \boldsymbol{x} \cdot \phi - \frac{1}{2}\sqrt{1-z^2} \tan\theta \right| \frac{d\theta d\phi}{\sqrt{1-z^2\sin^2\theta}\cos\theta}, \\ d \geq 3 \text{ odd.} \end{array}$ 



#### Theorem



Let  $z \in [0, 1)$ . The generalized Radon transform  $\mathcal{U}_z$  can be represented through

$$\mathcal{U}_z = \mathcal{N}_z \mathcal{F} \mathcal{M}_z.$$

$$\mathcal{M}_z f(\boldsymbol{\xi}) = \left(\frac{\sqrt{1-z^2}}{1+z\xi_{d+1}}\right)^{d-1} f \circ h(\boldsymbol{\xi}), \qquad \boldsymbol{\xi} \in \mathbb{S}^d$$
$$h(\boldsymbol{\xi}) = \sum_{i=1}^d \frac{\sqrt{1-z^2}}{1+z\xi_{d+1}} \xi_i e^i + \frac{z+\xi_{d+1}}{1+z\xi_{d+1}} e^{d+1}$$

▶  $\mathcal{F}$  ... Funk-Radon transform

• 
$$\mathcal{N}_z f(\boldsymbol{\xi}) = (1 - z^2 \xi_{d+1}^2)^{-\frac{d-1}{2}} f \circ g(\boldsymbol{\xi}), \quad \boldsymbol{\xi} \in \mathbb{S}^d$$
  
 $g(\boldsymbol{\xi}) = \frac{1}{\sqrt{1 - z^2 \xi_{d+1}^2}} \left( \sum_{i=1}^d \xi_i \boldsymbol{e}^i + \sqrt{1 - z^2} \xi_{d+1} \boldsymbol{e}^{d+1} \right)$ 



Funk-Radon transform for slices through a fixed point Geometric interpretation

## Geometric interpretation of h (for $\mathbb{S}^2$ )

#### Theorem

#### The map

$$h(\boldsymbol{\xi}) = \pi^{-1} \left( \sqrt{\frac{1+z}{1-z}} \, \pi(\boldsymbol{\xi}) \right)$$

#### is conformal. It consists of

- 1. Stereographic projection  $\pi \colon \mathbb{S}^d \to \mathbb{R}^d$
- 2. Uniform scaling  $\mathbb{R}^d o \mathbb{R}^d, \; m{x} \mapsto \sqrt{rac{1+z}{1-z}} \, m{x}$
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h maps great circles to small circles through  $ze^{d+1}$ .





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h maps great circles to small circles through  $zm{e}^{d+1}.$ 





## Geometric interpretation of h (for $\mathbb{S}^2$ )

#### Theorem

#### The map

$$h(\boldsymbol{\xi}) = \pi^{-1} \left( \sqrt{\frac{1+z}{1-z}} \, \pi(\boldsymbol{\xi}) \right)$$

#### is conformal. It consists of

- 1. Stereographic projection  $\pi \colon \mathbb{S}^d \to \mathbb{R}^d$
- 2. Uniform scaling  $\mathbb{R}^d o \mathbb{R}^d, \ m{x} \mapsto \sqrt{rac{1+z}{1-z}} \, m{x}$
- **3**. Inverse stereographic projection  $\pi^{-1} \colon \mathbb{R}^d \to \mathbb{S}^d$

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- G ... great circle of  $\mathbb{S}^2$
- E ... equator of  $\mathbb{S}^2$
- ► *G* intersects *E* in two antipodal points (or is identical to *E*)
- $\blacktriangleright \ \pi(E) = E$
- ► π(G) is circle or line in ℝ<sup>2</sup> and intersects π(E) in two antipodal points





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## 2) Uniform scaling

- Scaling with factor  $\sigma = \sqrt{\frac{1+z}{1-z}}$
- Unit circle E becomes circle  $\sigma(\pi(E))$  with radius  $\sigma$
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Funk-Radon transform for slices through a fixed point Geometric interpretation

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- Circle with radius s
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## Nullspace of $\mathcal{U}_z$

#### Theorem

## For $\boldsymbol{\xi} \in \mathbb{S}^d$ we define $\boldsymbol{\xi}^* \in \mathbb{S}^d$ as the point reflection of the sphere about the point $z \boldsymbol{e}^{d+1}$ .

#### Let $f \in L^2(\mathbb{S}^d)$ . Then

$$\mathcal{U}_z f = 0$$

if and only if for almost every  $oldsymbol{\xi} \in \mathbb{S}^d$ 

$$f(\boldsymbol{\xi}) = -\left(\frac{1-z^2}{1+z^2-2z\eta_{d+1}}\right)^{d-1} f(\boldsymbol{\xi}^*).$$

#### [Q., 2017]





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## Range of $\mathcal{U}_z$

#### Theorem

[Q., 2017]

#### The generalized Radon transform

$$\mathcal{U}_z \colon \widetilde{H}^s_{\mathrm{e}}(\mathbb{S}^d) \to H^{s+\frac{d-1}{2}}_{\mathrm{e}}(\mathbb{S}^d)$$

is bijective and continuous.

► 
$$\widetilde{H}_{e}^{s}(\mathbb{S}^{d}) = \left\{ f \in H^{s}(\mathbb{S}^{d}) \mid f(\boldsymbol{\xi}) = \left(\frac{1-z^{2}}{1+z^{2}-2z\eta_{d+1}}\right)^{d-1} f(\boldsymbol{\xi}) \right\}$$
  
►  $H_{e}^{s+\frac{d-1}{2}}(\mathbb{S}^{d})$  ... Sobolev space of even functions



$$\mathcal{U} \colon H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{M}_{z}} H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{F}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d}) \xrightarrow{\mathcal{N}_{z}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d})$$



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#### We have

$$\mathcal{M}_{z}f(\boldsymbol{\xi}) = \underbrace{\left(\frac{\sqrt{1-z^{2}}}{1+z\xi_{d+1}}\right)^{d-1}}_{\text{multiplication}} \underbrace{f \circ h}_{\text{composition}}(\boldsymbol{\xi}), \qquad \boldsymbol{\xi} \in \mathbb{S}^{d}$$

where

$$h(\boldsymbol{\xi}) = \sum_{i=1}^{d} \frac{\sqrt{1-z^2}}{1+z\xi_{d+1}} \xi_i \boldsymbol{e}^i + \frac{z+\xi_{d+1}}{1+z\xi_{d+1}} \boldsymbol{e}^{d+1}.$$



$$\mathcal{U} \colon \underline{H^{s}(\mathbb{S}^{d})} \xrightarrow{\mathcal{M}_{z}} \underline{H^{s}(\mathbb{S}^{d})} \xrightarrow{\mathcal{F}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d}) \xrightarrow{\mathcal{N}_{z}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d})$$

#### Lemma 1

Let  $s \in \mathbb{N}_0$  and  $g \in C^s(\mathbb{S}^d)$ . Then there exists  $c_s$  such that

 $\|fg\|_{H^s(\mathbb{S}^d)} \leq c_s \, \|f\|_{H^s(\mathbb{S}^d)} \, \|g\|_{C^s(\mathbb{S}^d)} \qquad \forall f \in H^s(\mathbb{S}^d), \; \forall g \in C^s(\mathbb{S}^d).$ 

#### Lemma 2

Let  $s \in \mathbb{N}_0$  and  $g \in C^s(\mathbb{S}^d \to \mathbb{S}^d)$  be a diffeomorphism. Then there exists  $C_{g,s}$  such that

 $\|f \circ g\|_{H^s(\mathbb{S}^d)} \le C_{g,s} \|f\|_{H^s(\mathbb{S}^d)} \qquad \forall f \in H^s(\mathbb{S}^d).$ 

March 30, 2017 · Michael Quellmalz

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$$\mathcal{U} \colon \underline{H^{s}(\mathbb{S}^{d})} \xrightarrow{\mathcal{M}_{z}} \underline{H^{s}(\mathbb{S}^{d})} \xrightarrow{\mathcal{F}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d}) \xrightarrow{\mathcal{N}_{z}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d})$$

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#### Theorem

#### [Strichartz, 1981]

#### The Funk-Radon transform

$$\mathcal{F} \colon L^2_{\text{even}}(\mathbb{S}^d) \to H^{\frac{d-1}{2}}_{\text{even}}(\mathbb{S}^d)$$

is continuous and bijective.



$$\mathcal{U} \colon H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{M}_{z}} H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{F}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d}) \xrightarrow{\mathcal{N}_{z}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d})$$

#### We have

where

$$\mathcal{N}_{z}f(\boldsymbol{\xi}) = \underbrace{(1 - z^{2}\xi_{d+1}^{2})^{-\frac{d-1}{2}}}_{\text{multiplication}} \underbrace{f \circ g}_{\text{composition}}(\boldsymbol{\xi}), \qquad \boldsymbol{\xi} \in \mathbb{S}^{d}$$

$$g: \mathbb{S}^d \to \mathbb{S}^d, \quad g(\boldsymbol{\xi}) = \frac{1}{\sqrt{1 - z^2 \xi_{d+1}^2}} \left( \sum_{i=1}^d \xi_i \boldsymbol{e}^i + \sqrt{1 - z^2} \xi_{d+1} \boldsymbol{e}^{d+1} \right).$$



$$\mathcal{U} \colon H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{M}_{z}} H^{s}(\mathbb{S}^{d}) \xrightarrow{\mathcal{F}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d}) \xrightarrow{\mathcal{N}_{z}} H^{s + \frac{d-1}{2}}(\mathbb{S}^{d})$$

- ▶ The Lemmas imply that  $\mathcal{N}_z \colon H^s \to H^s$  is continuous for  $s \in \mathbb{N}_0$
- The same holds for  $\mathcal{N}_z^-$
- ► Continuity for arbitrary *s* > 0 follows with theory of interpolation spaces [Triebel, 1995])



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