


Quicksort and Quickselect

Example run of Quicksort on the array bifgajedhc

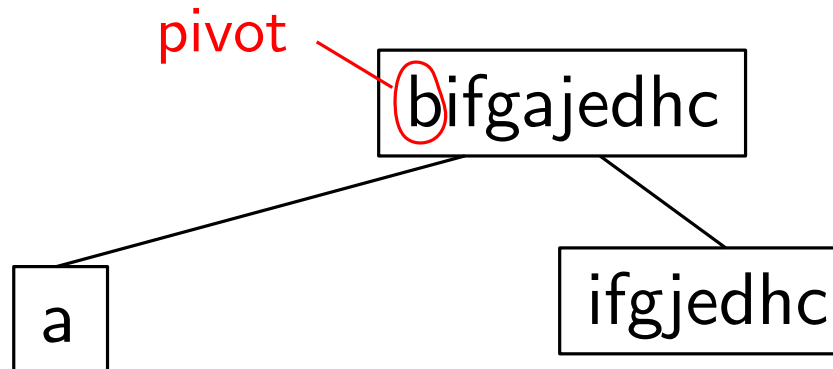
Example run of Quicksort on the array bifgajedhc

bifgajedhc

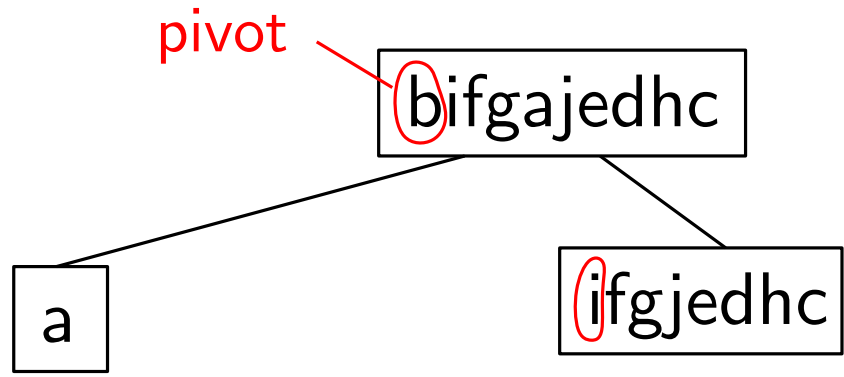
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pivot  bifgajedhc

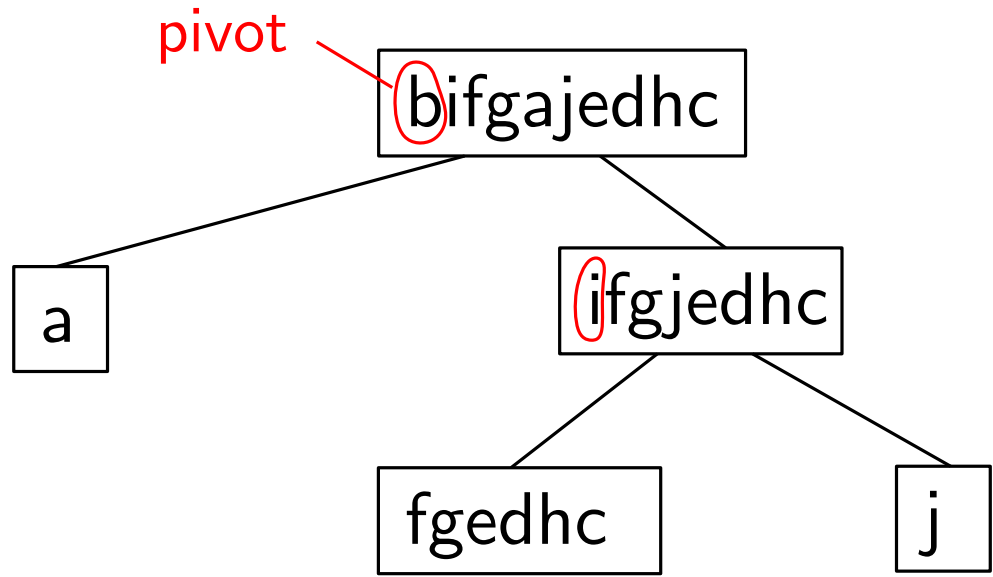
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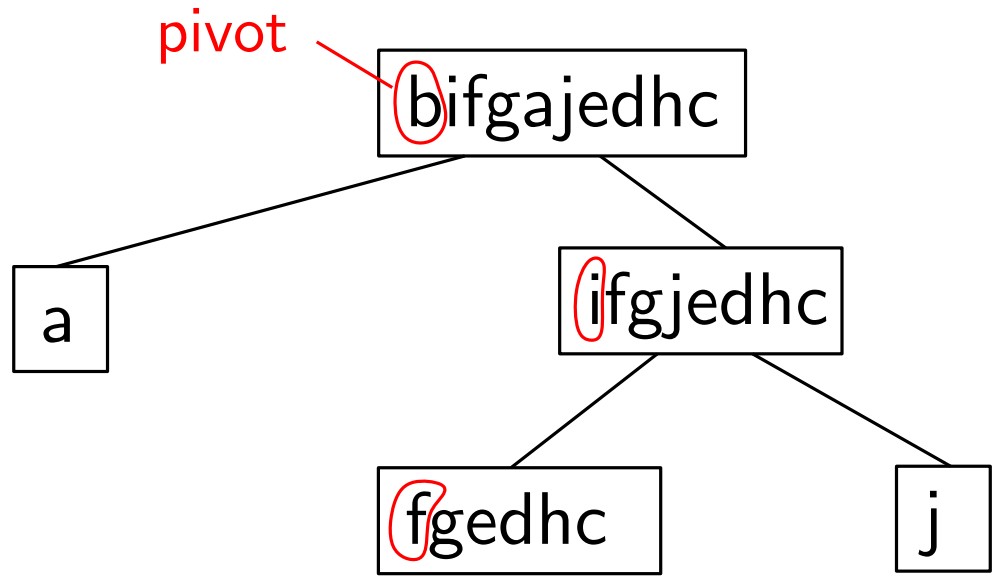
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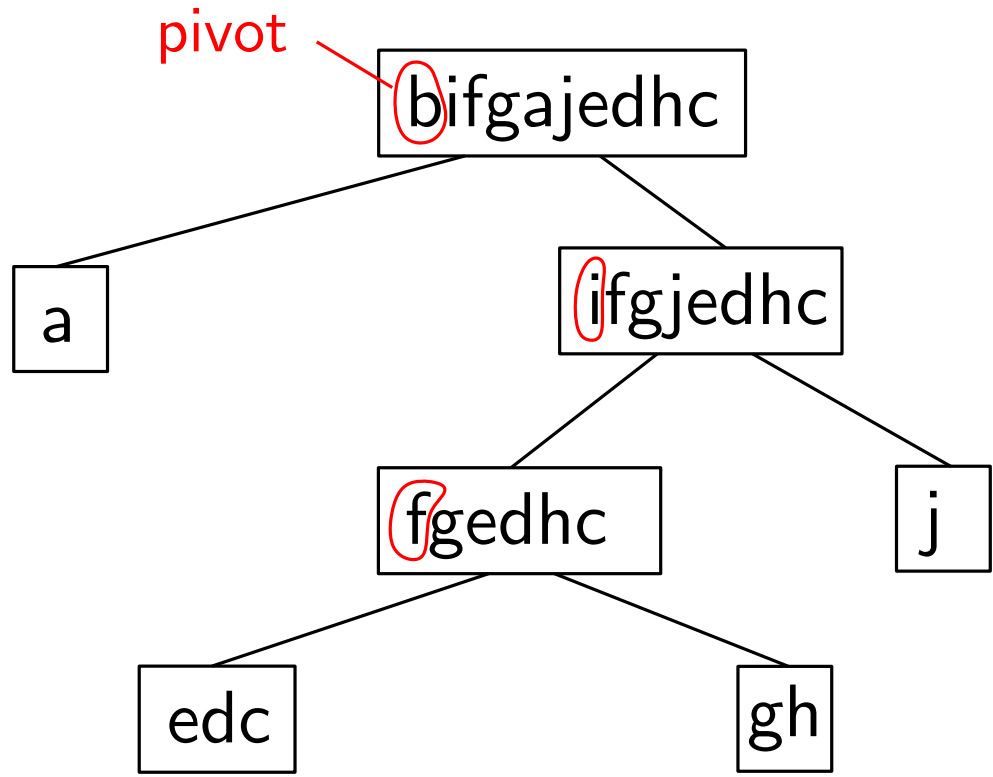
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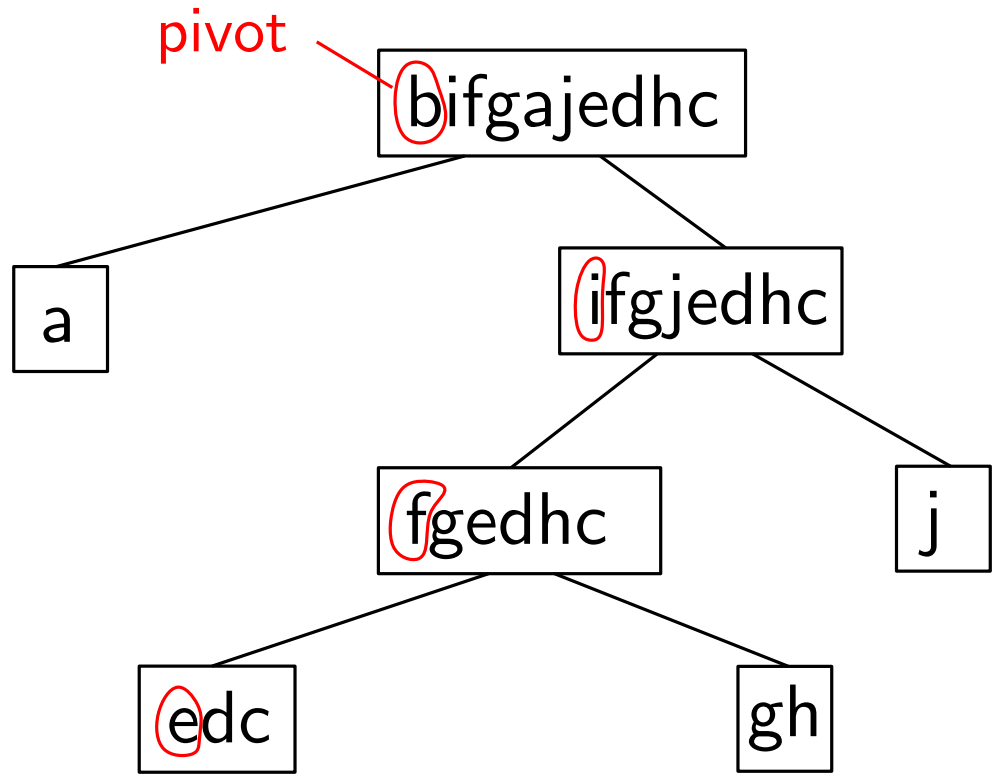
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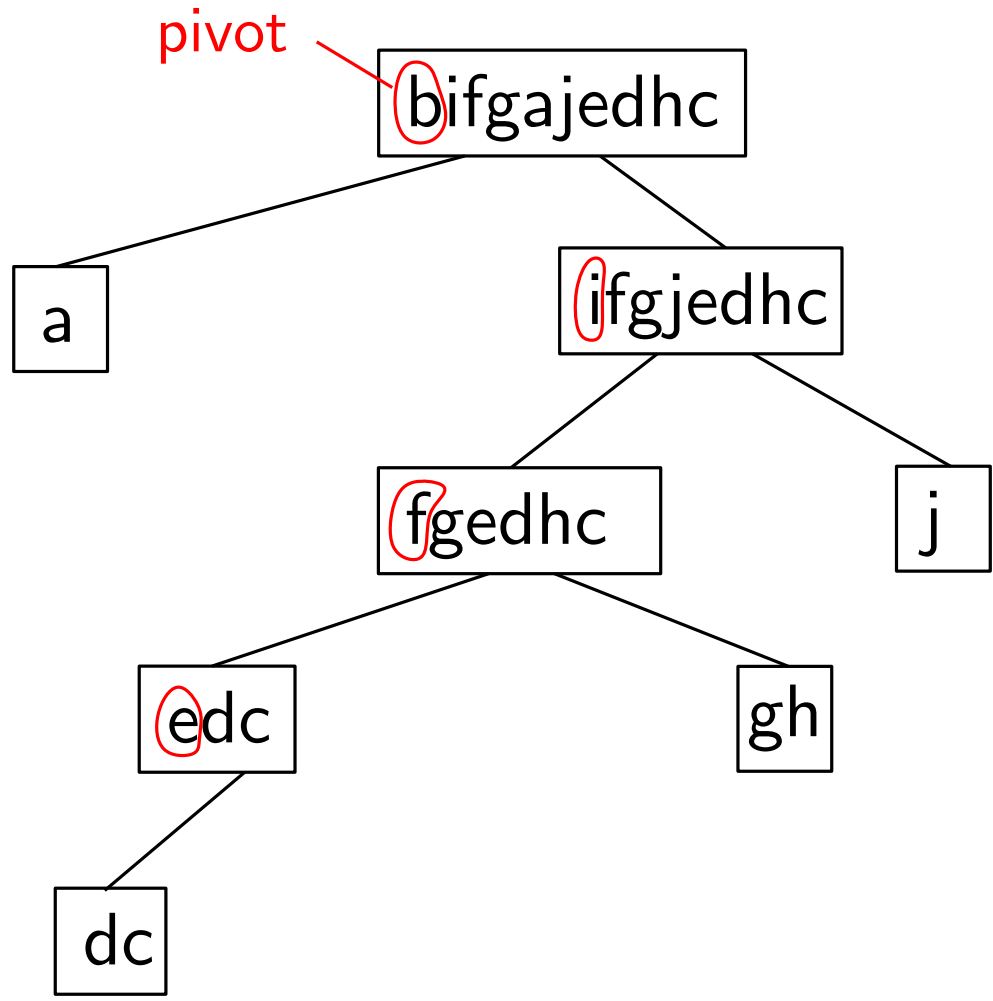
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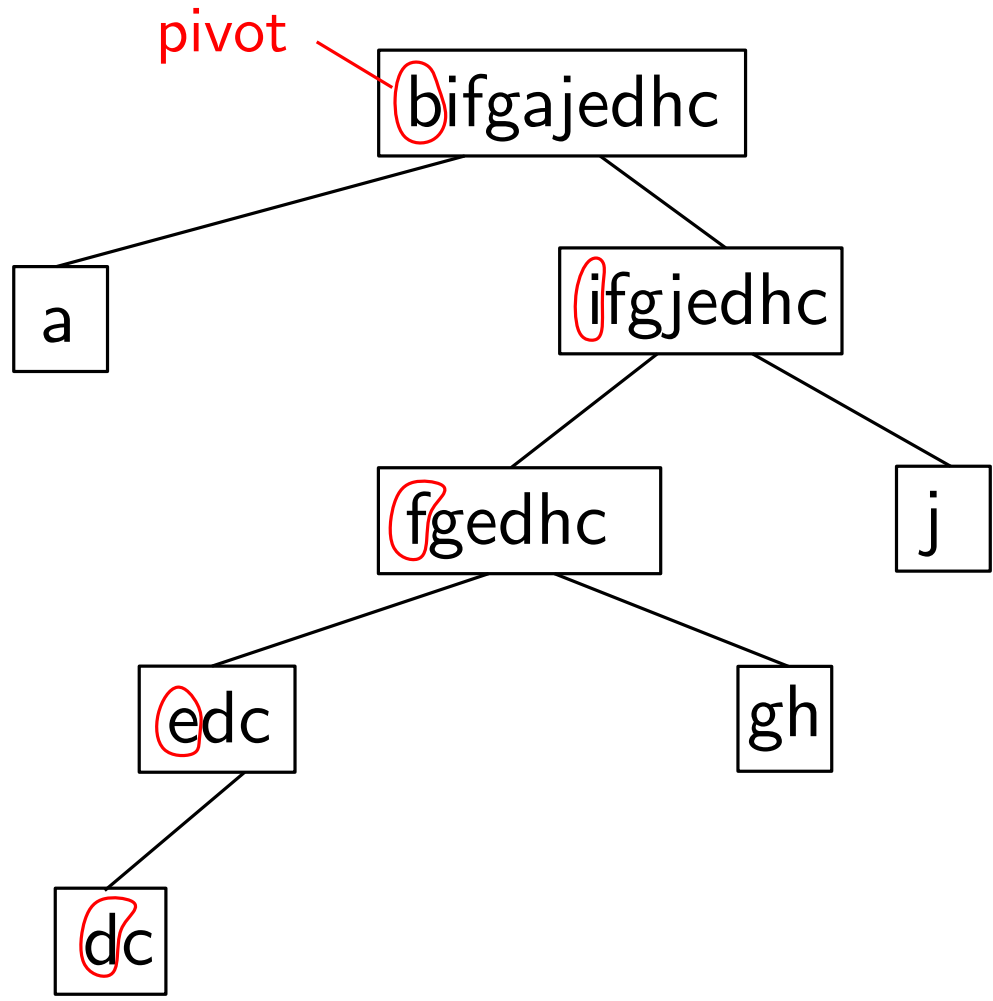
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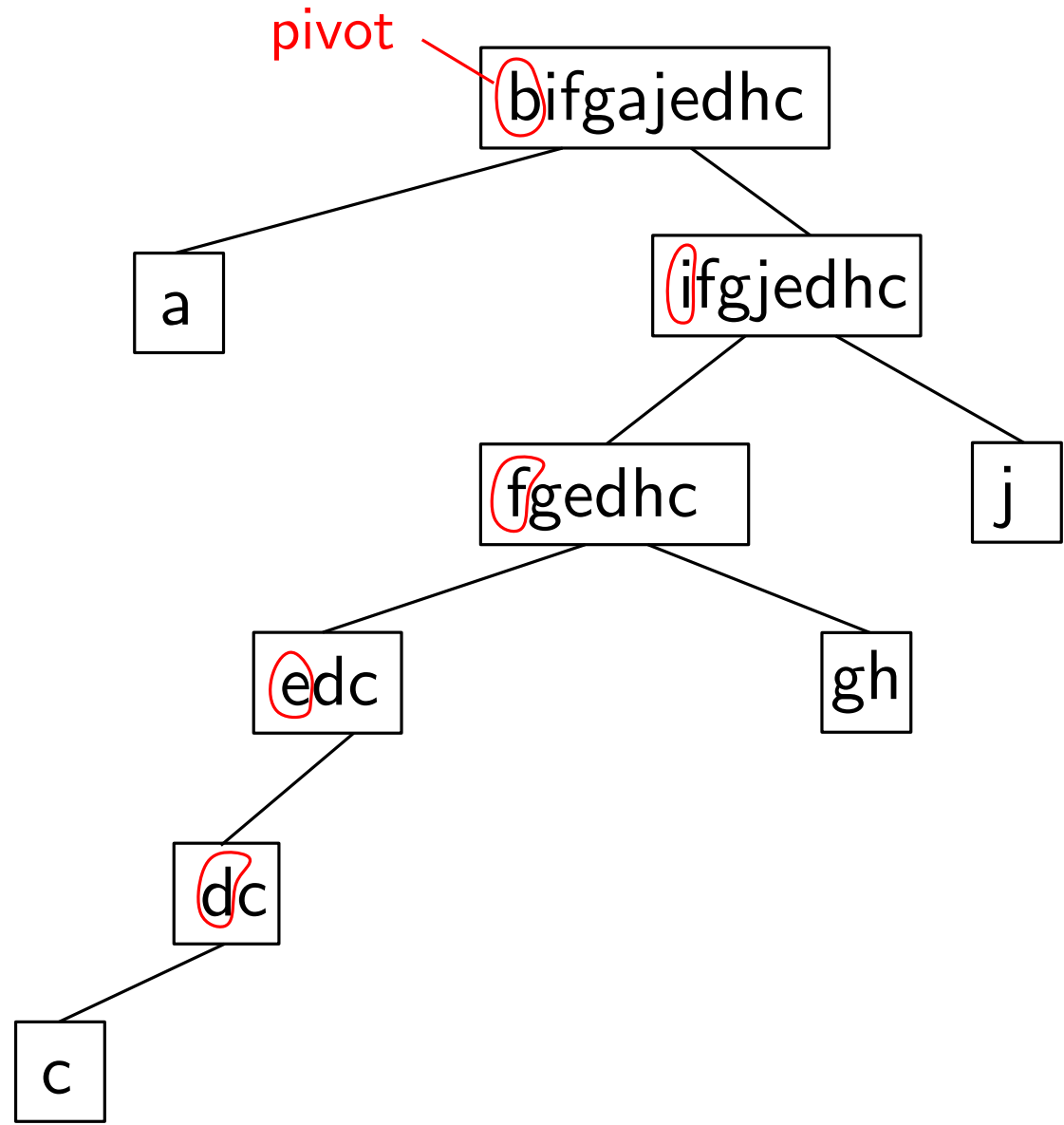
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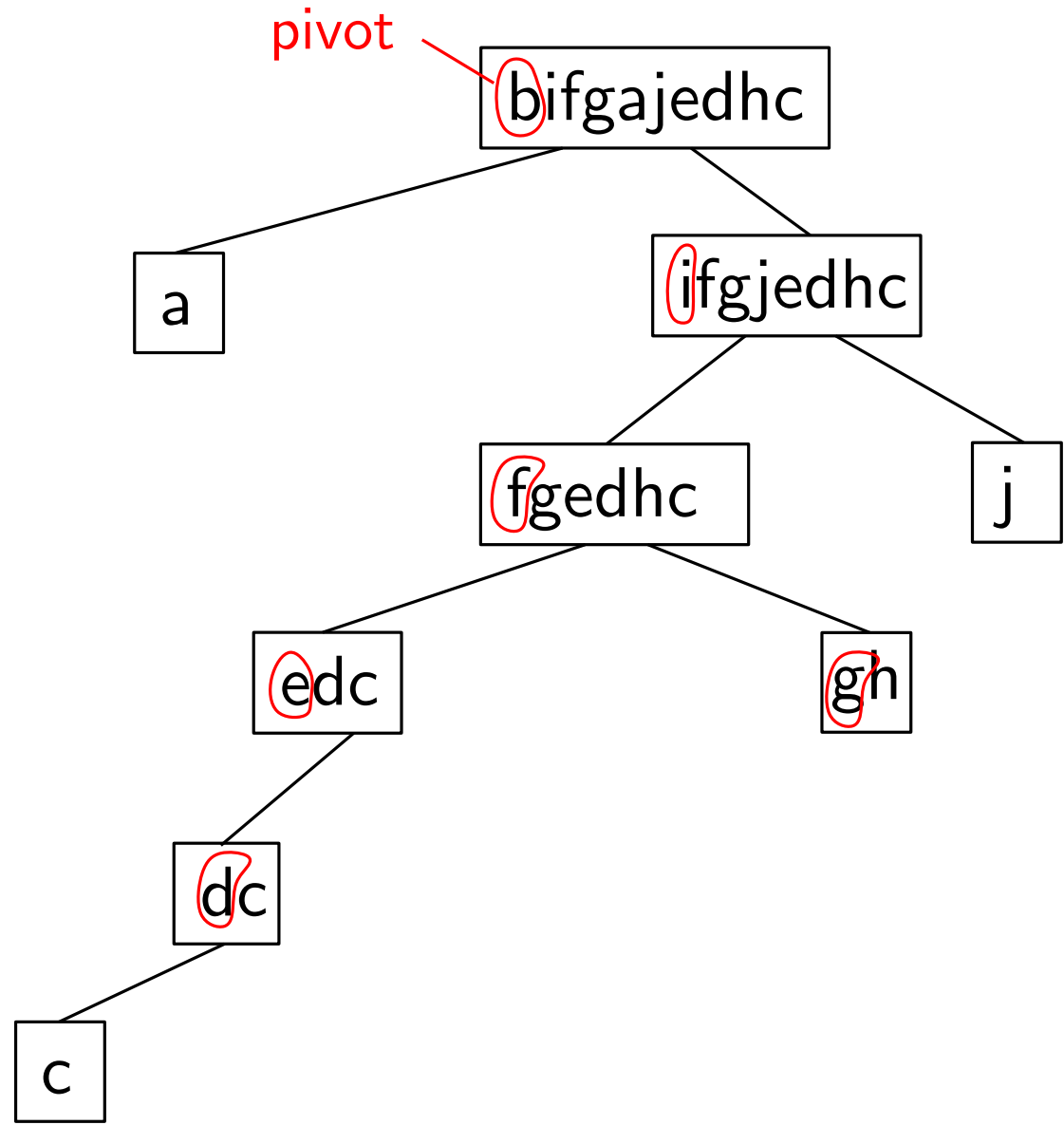
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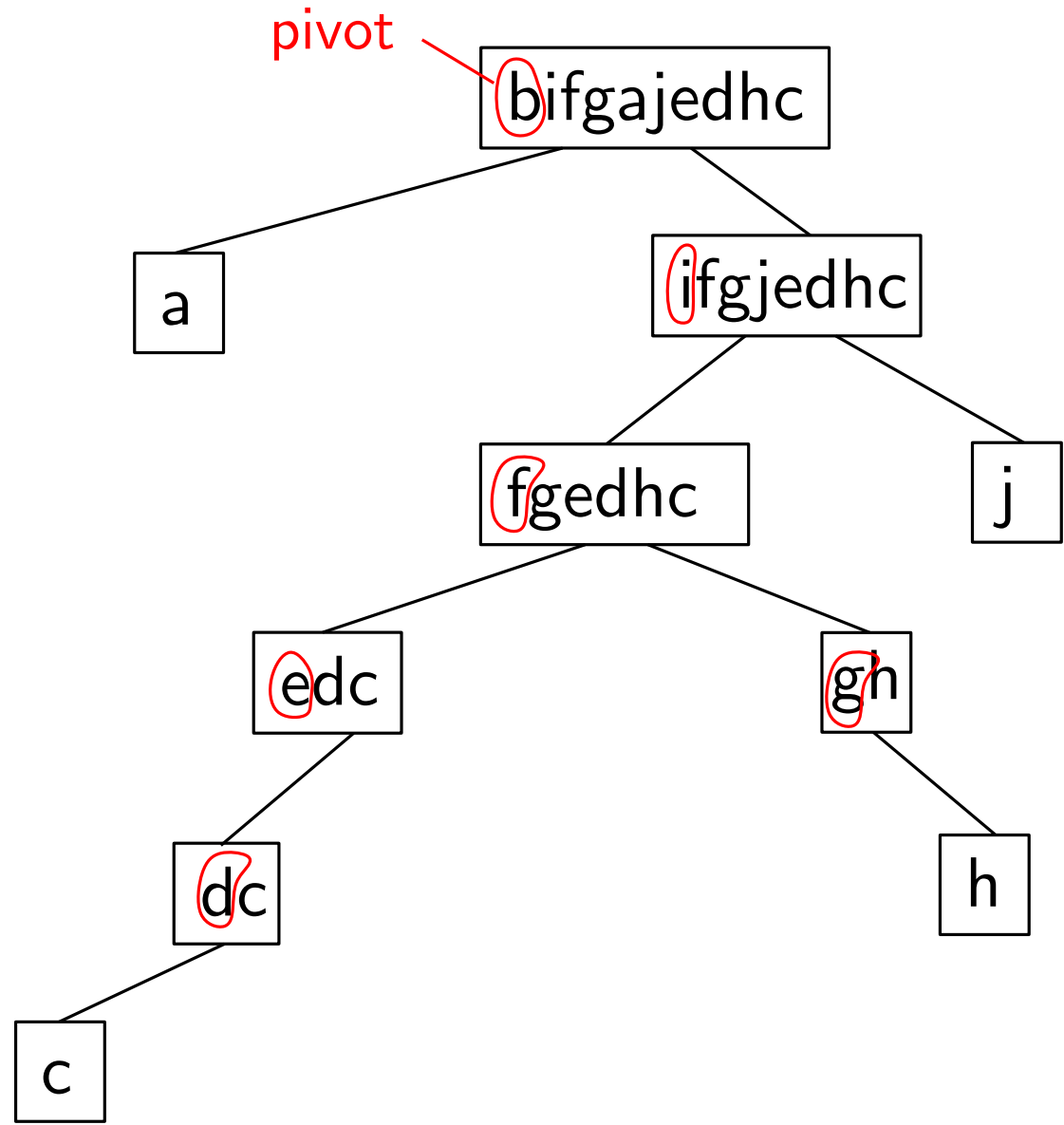
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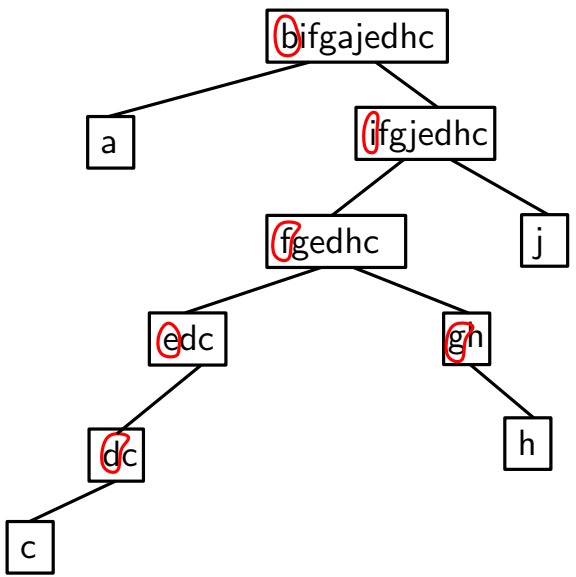
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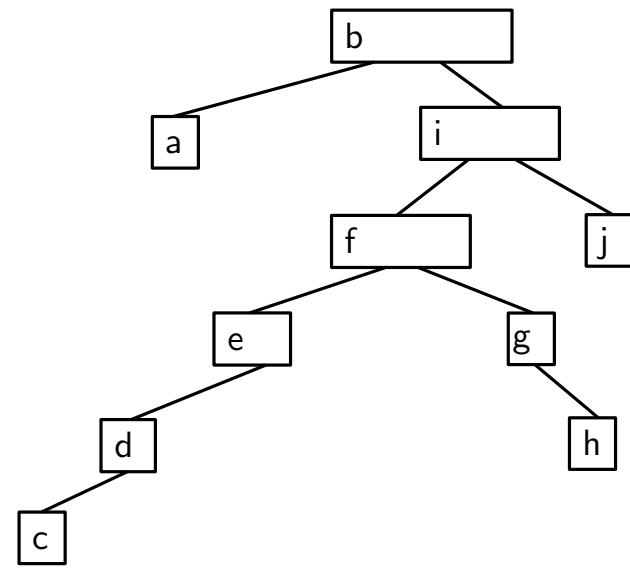
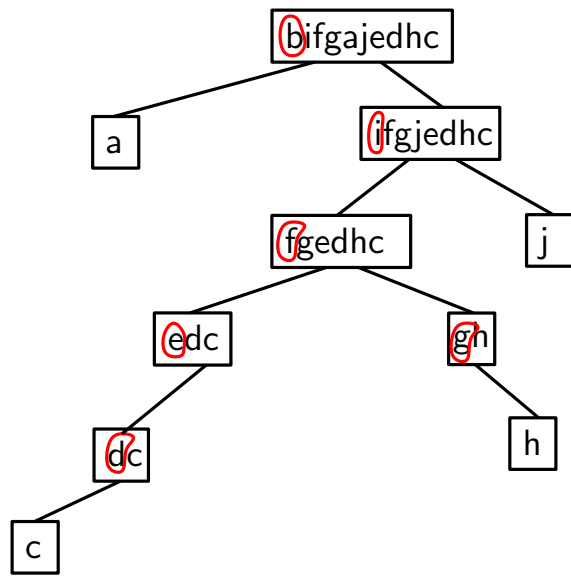
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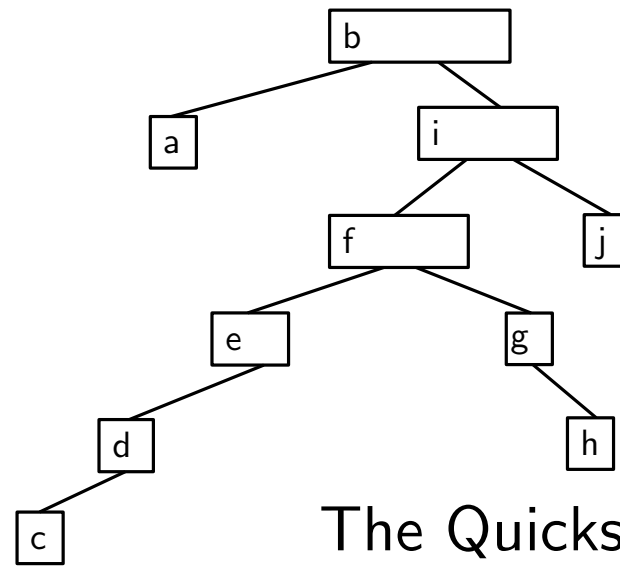
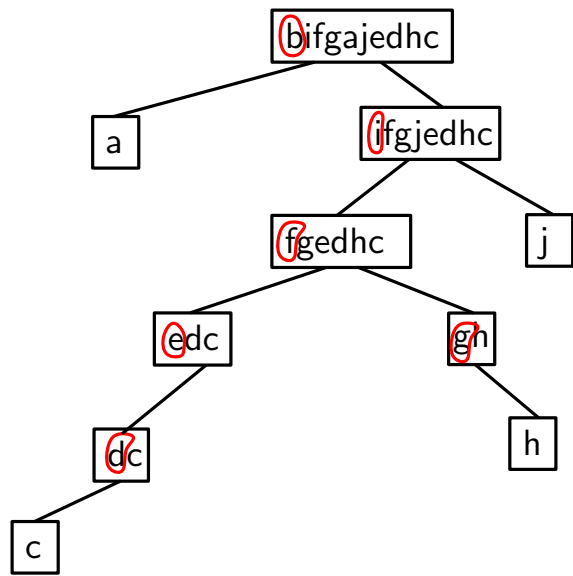
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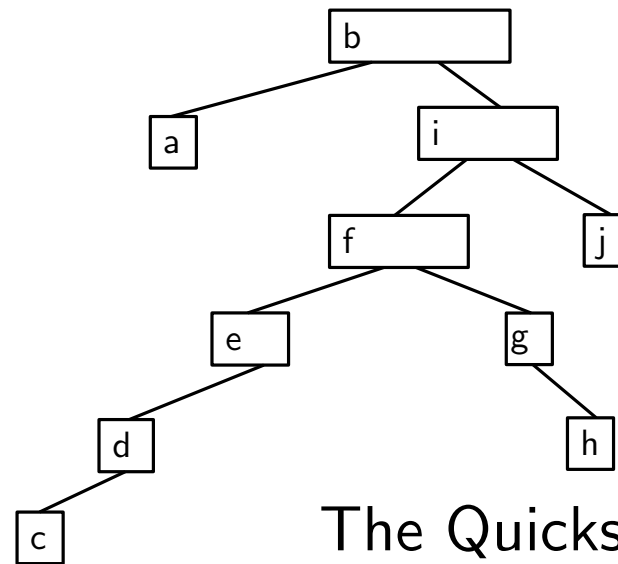
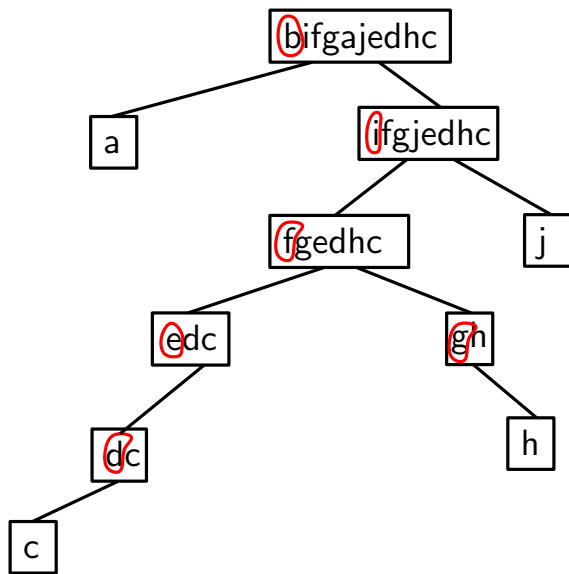


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The Quicksort Tree

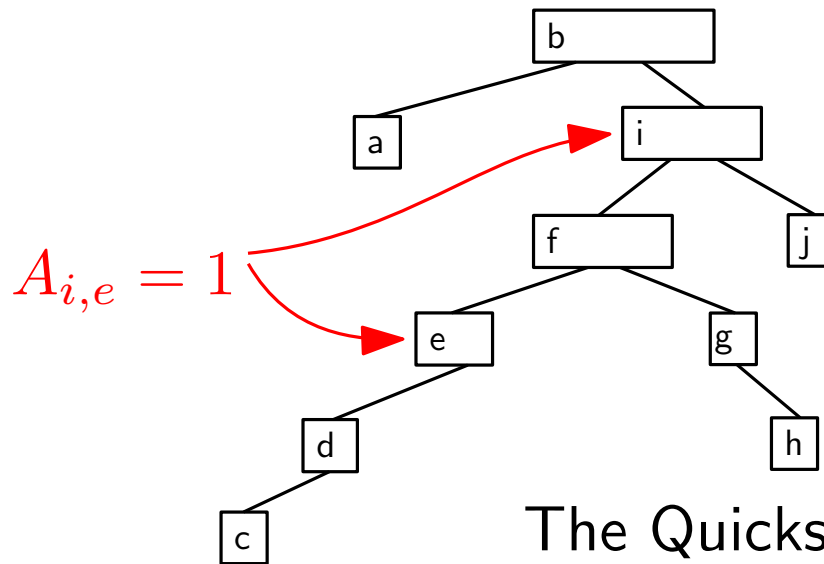
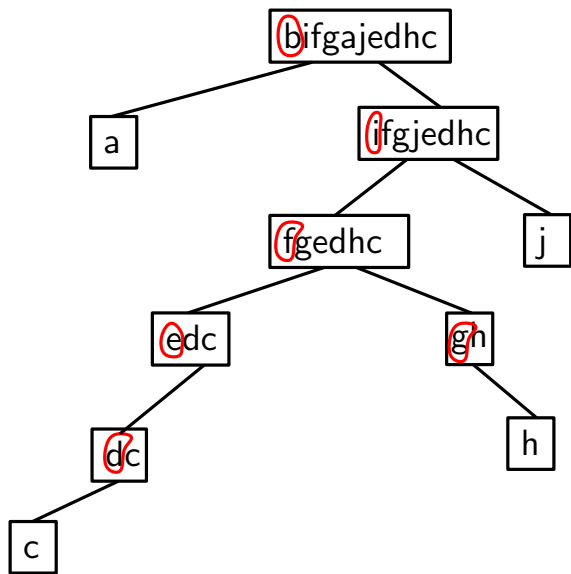
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The Quicksort Tree

Definition. $A_{x,y}$ is 1 if x is an ancestor of y in the Quicksort tree; otherwise, $A_{x,y}$ is 0.

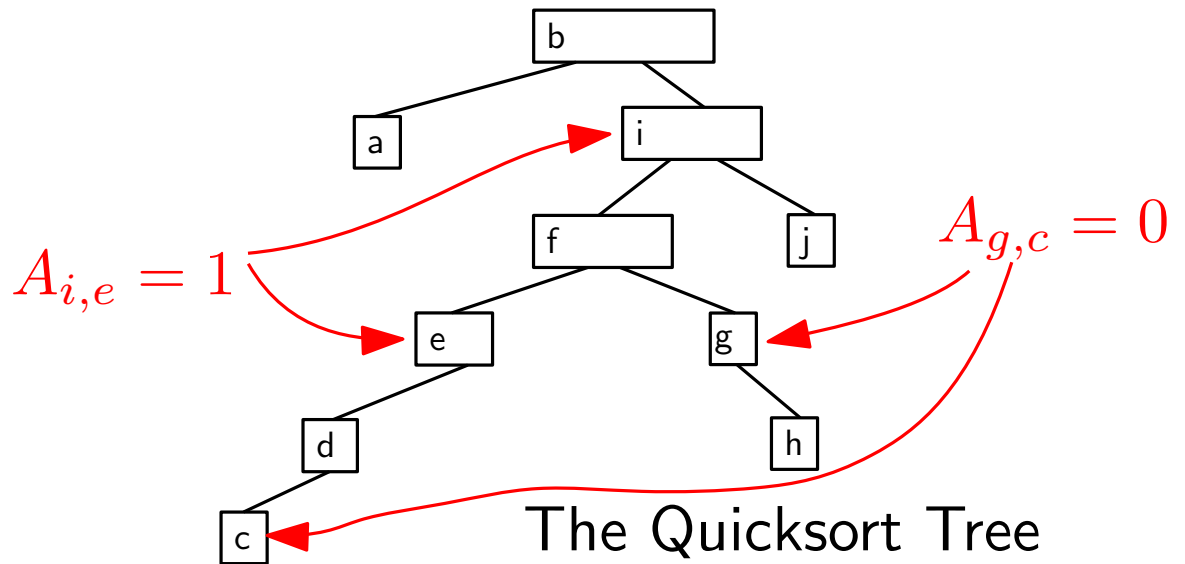
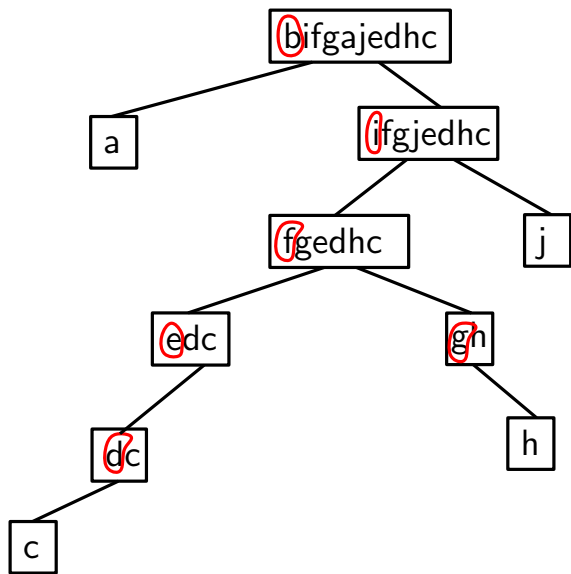
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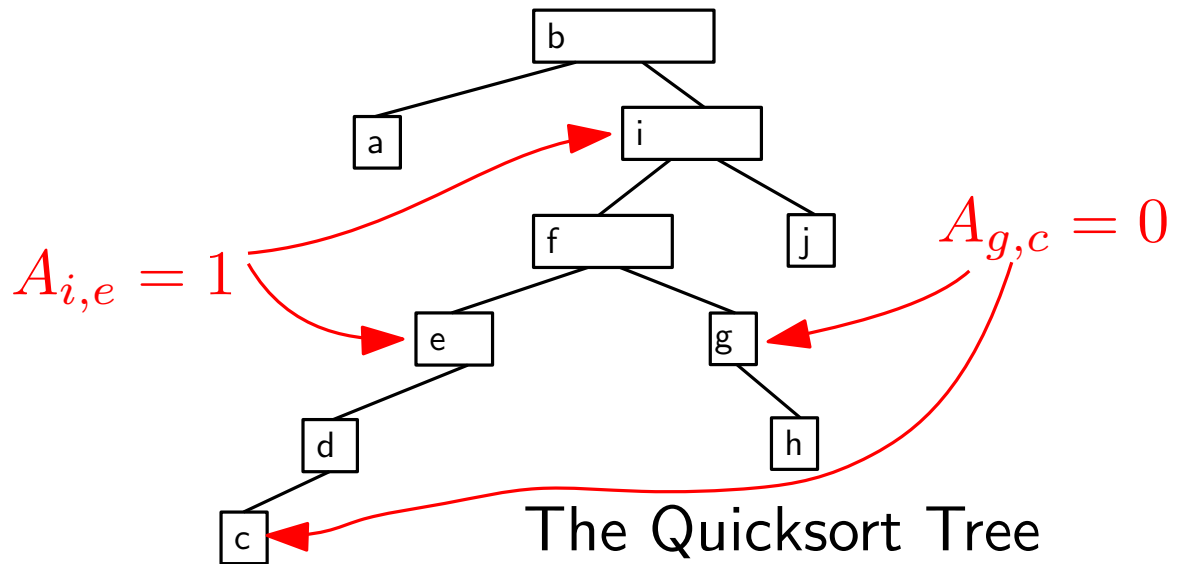
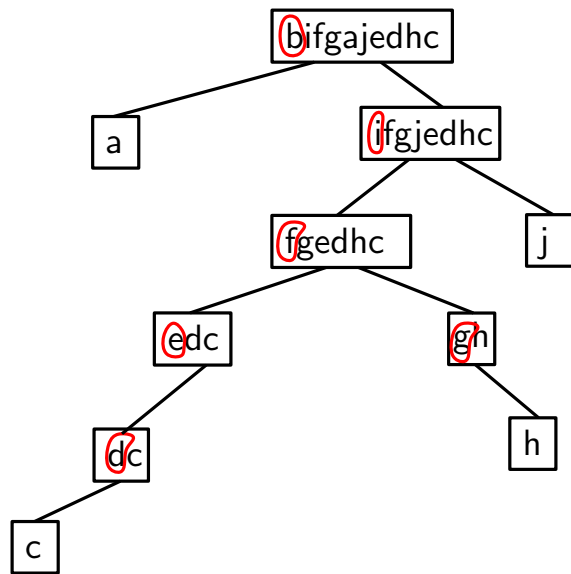
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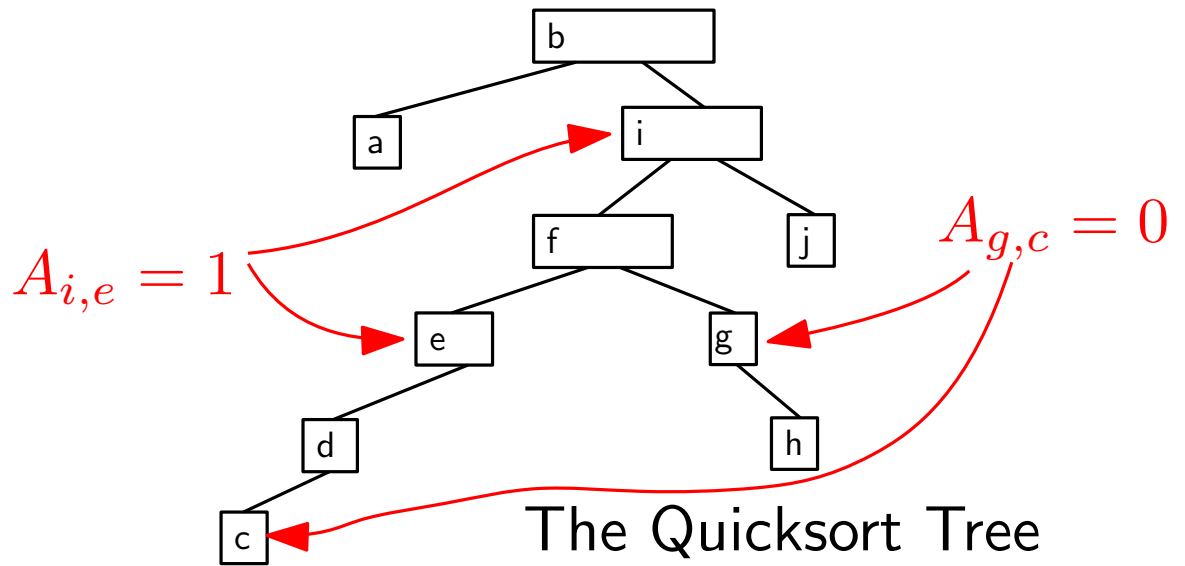
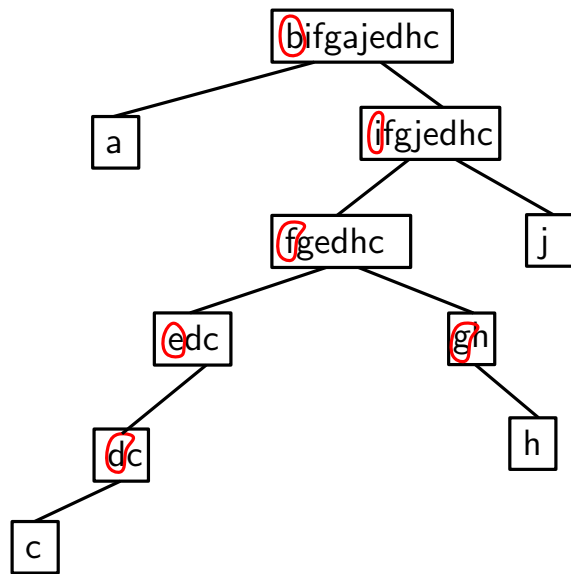
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Observation. Suppose $x \neq y$. Then $A_{x,y} = 1$ if and only if Quicksort compares x and y , with x being the pivot at that time.

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Observation. Quicksort makes $\sum_x \sum_{y \neq x} A_{x,y}$ comparisons.

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Probability that x is an ancestor of y in the Quicksort tree

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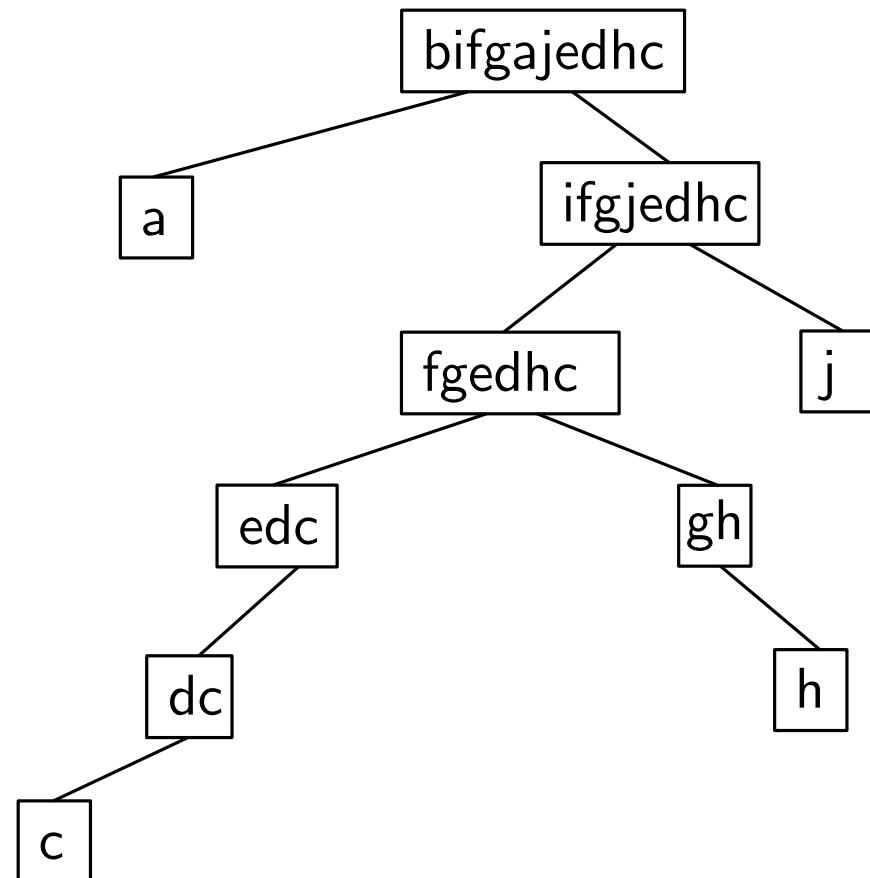
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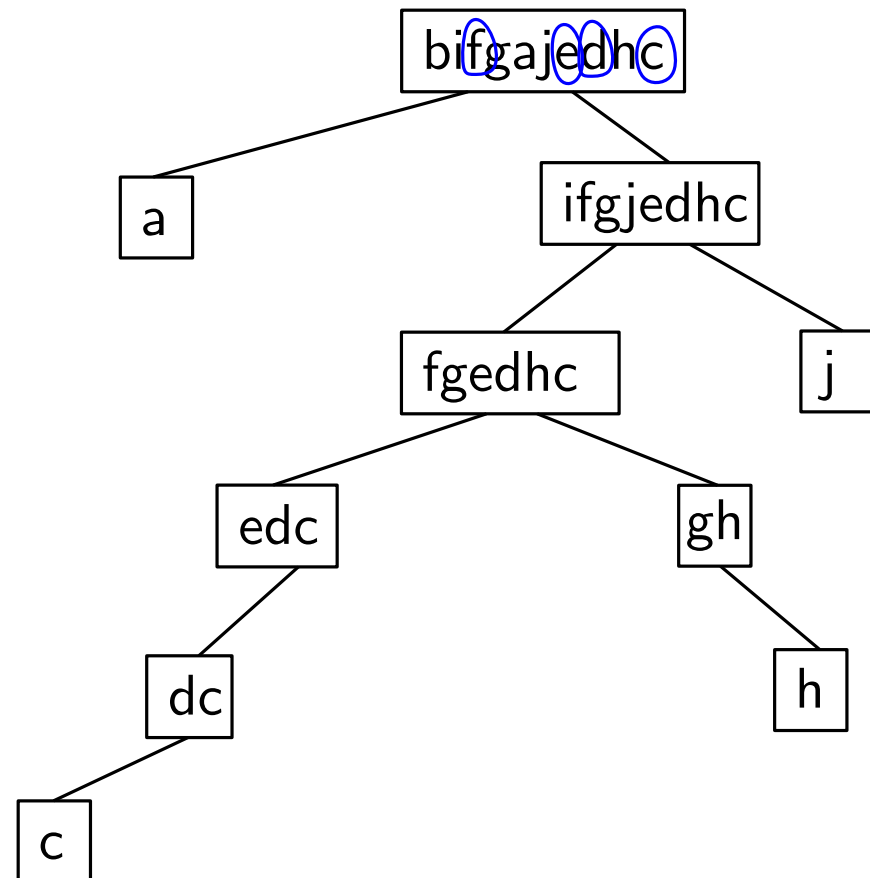


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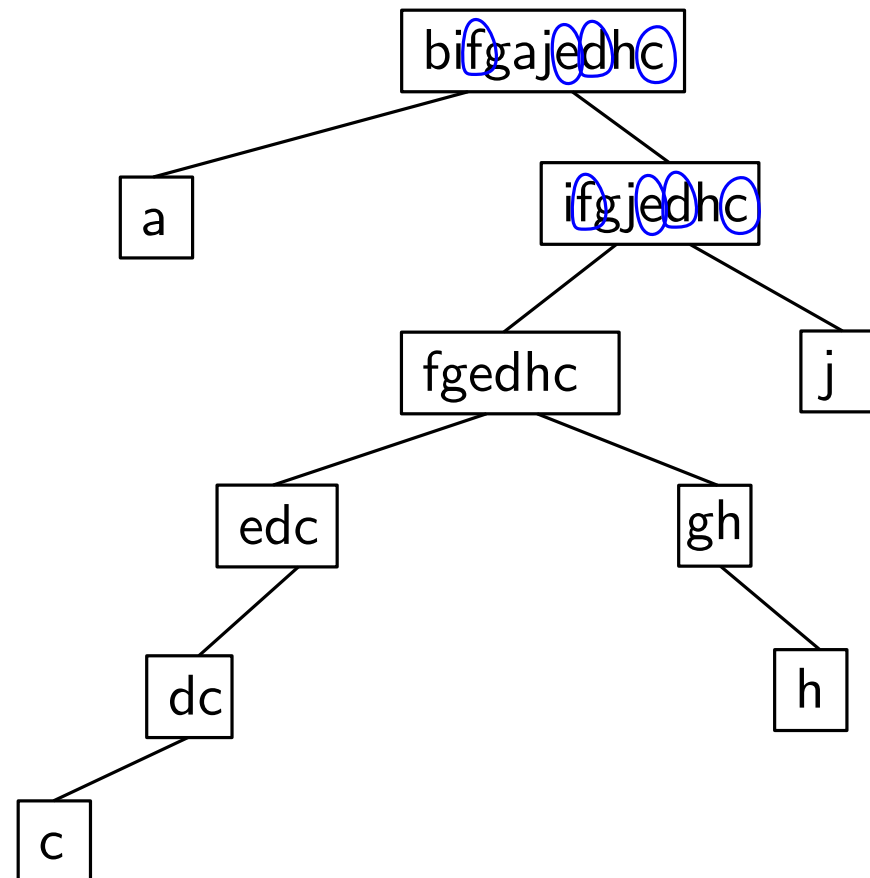


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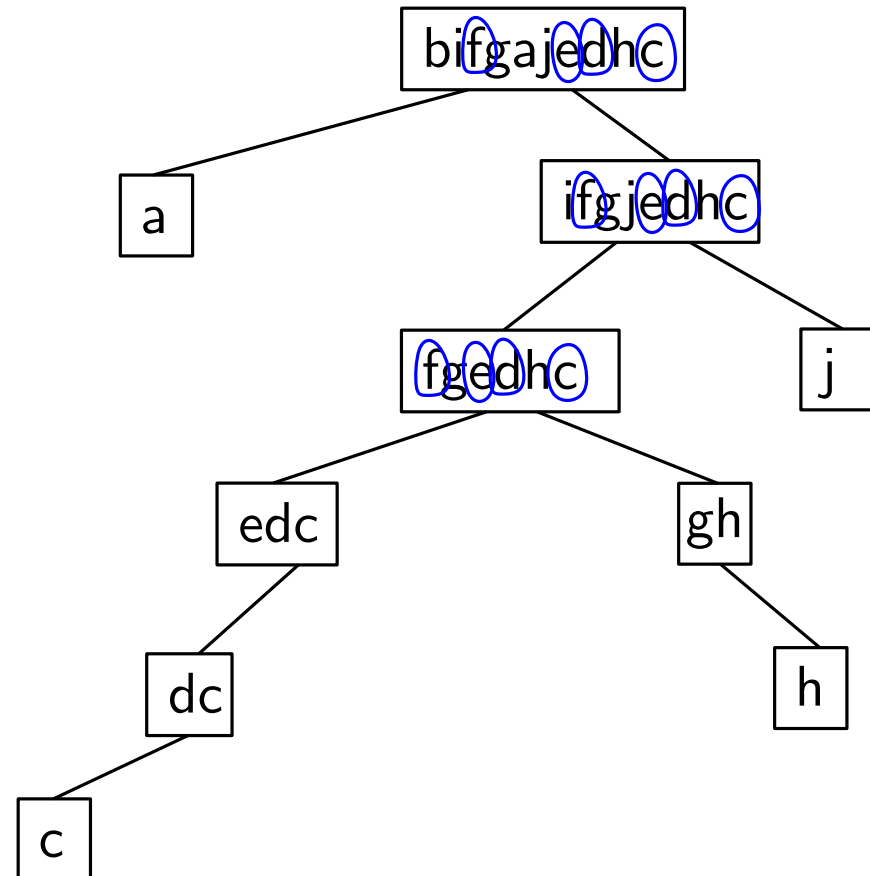


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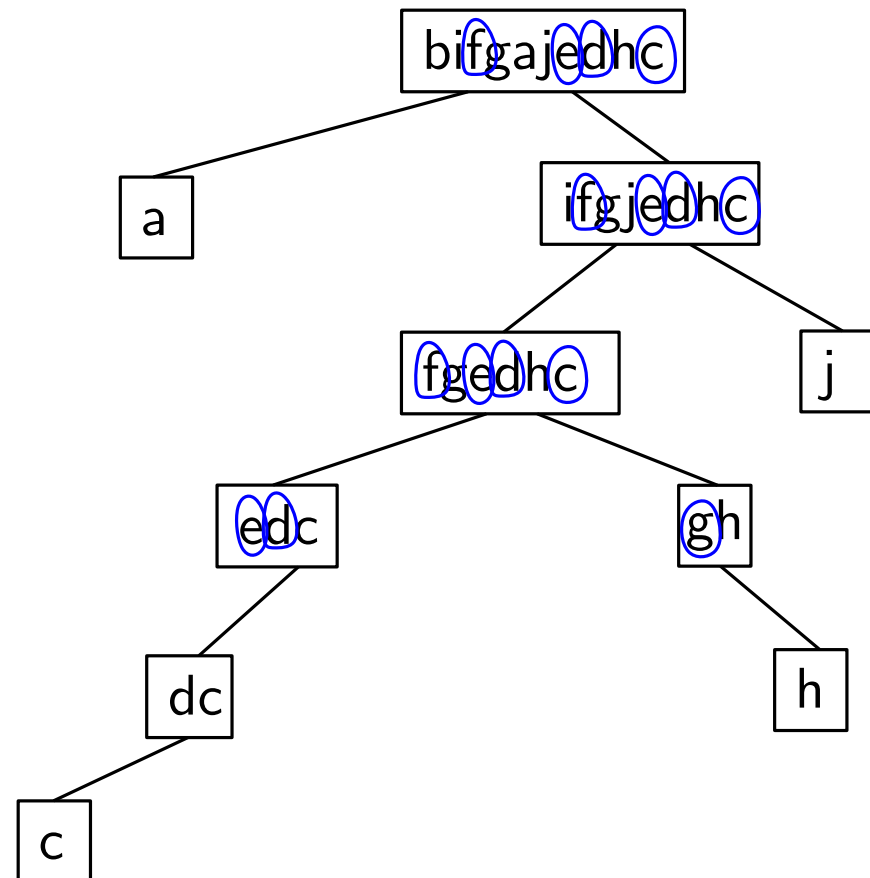


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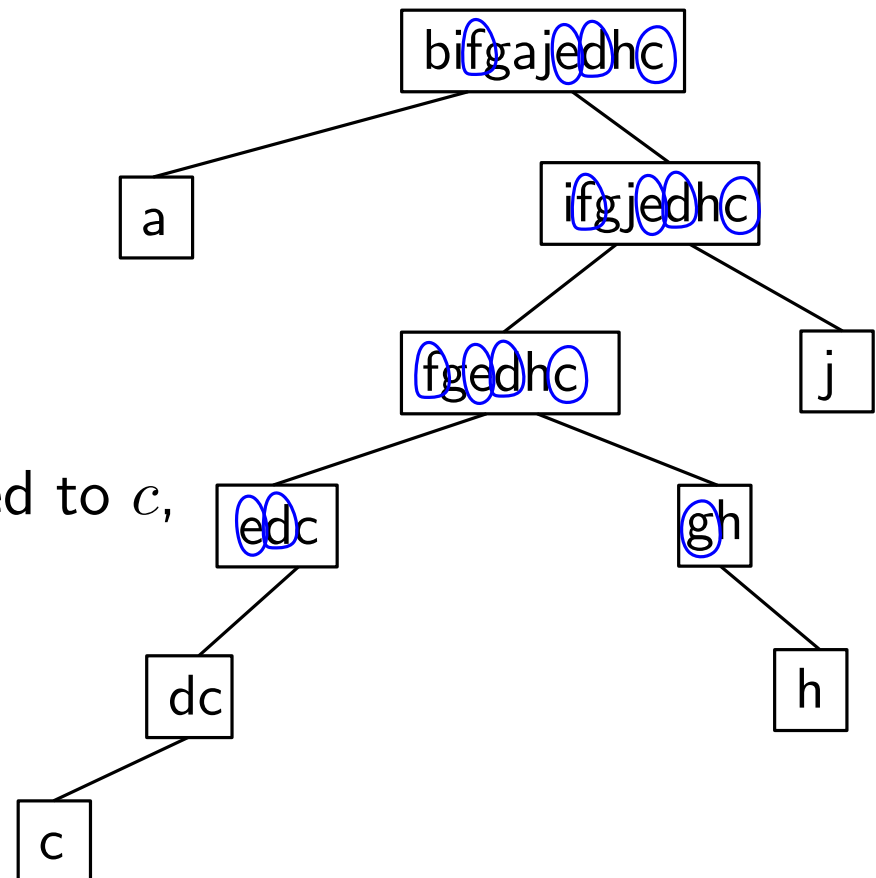
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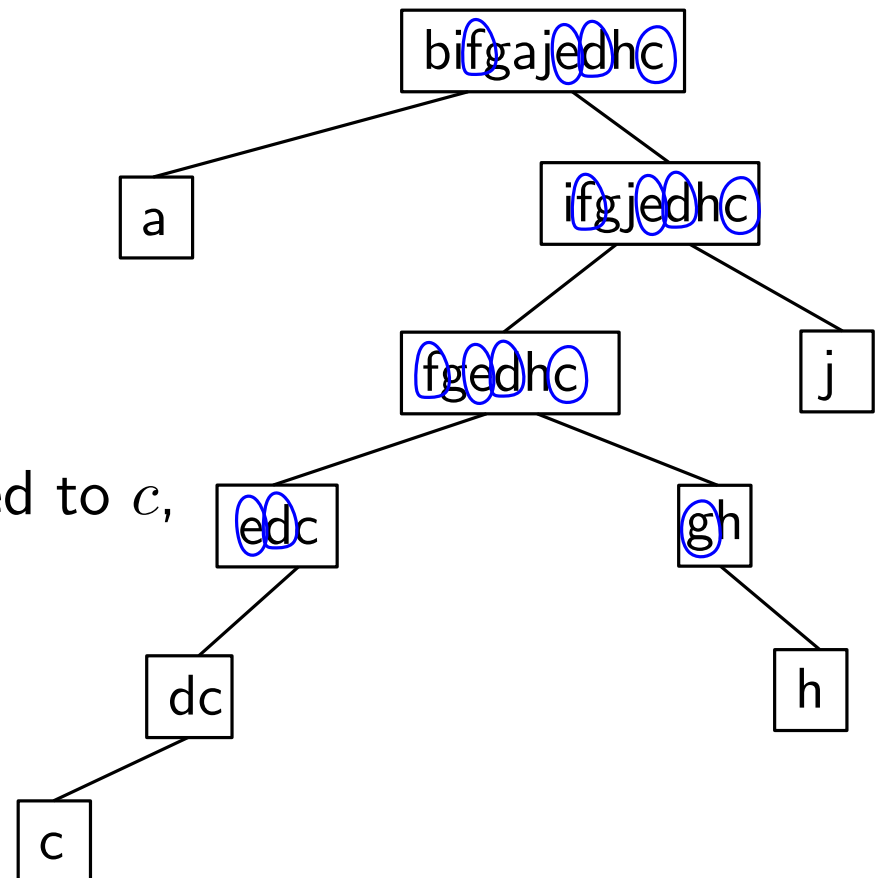
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In general:

$$\mathbf{E}[A_{x,y}] = \frac{1}{|[x:y]|}$$

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Evaluate this sum (Homework)



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I'm doing it here now.

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| | <i>j</i> | | | | | | |
|----------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| <i>i</i> | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ | $\frac{1}{7}$ |
| 2 | $\frac{1}{2}$ | | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ |
| 3 | $\frac{1}{3}$ | $\frac{1}{2}$ | | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ |
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| 5 | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | | $\frac{1}{2}$ | $\frac{1}{3}$ |
| 6 | $\frac{1}{6}$ | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | | $\frac{1}{2}$ |
| 7 | $\frac{1}{7}$ | $\frac{1}{6}$ | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | |

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$$= 2 \cdot \sum_{i=1}^n \sum_{j=1}^{i-1} \frac{1}{i-j+1}$$

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| 1 | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ | $\frac{1}{7}$ |
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Change of indices: let's write
 $k := i - j + 1$. When j runs from 1 up to
 $i - 1$, k runs from i down to 2.

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| 1 | | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ | $\frac{1}{7}$ |
| 2 | $\frac{1}{2}$ | | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ |
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Hier verlieren wir ein bisschen Präzision. Aber die Richtung stimmt: wir wollen eine *Obergrenze* für die erwartete Anzahl von Vergleichen angeben. In diesem Schritt machen wir diese Zahl größer, um uns die Rechnerei leichter zu machen.

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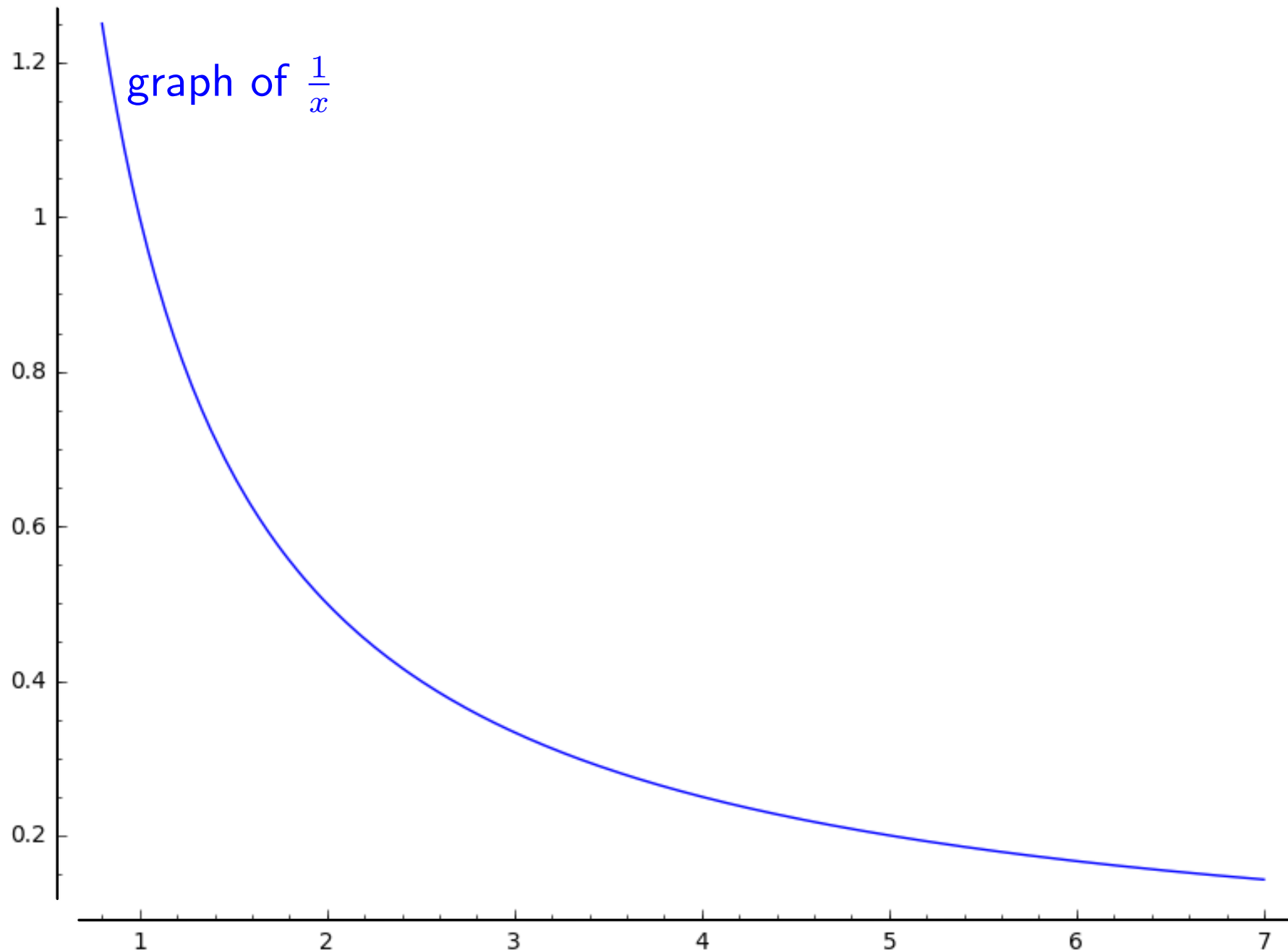
$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k}$$

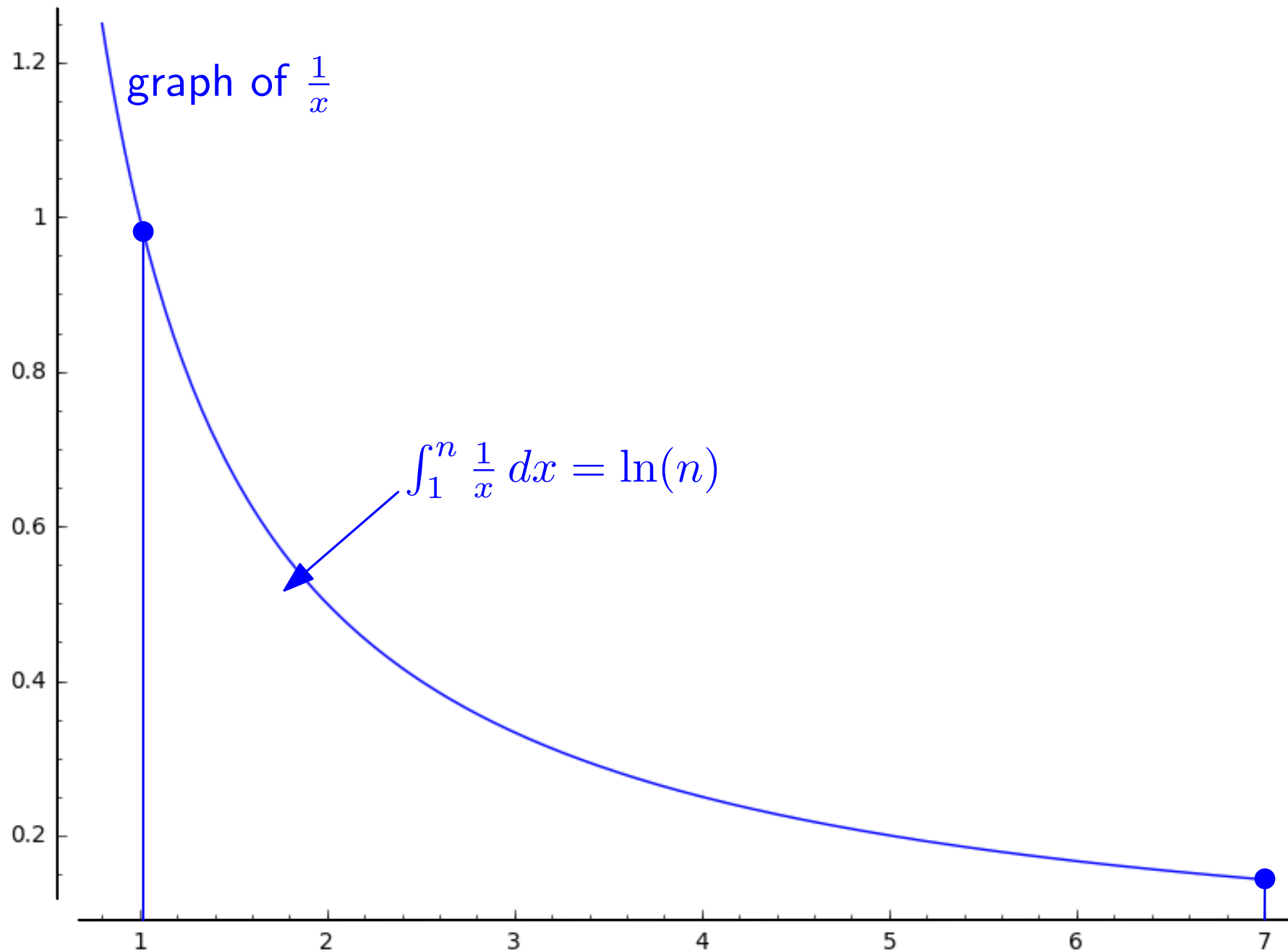
$$\leq 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k}$$

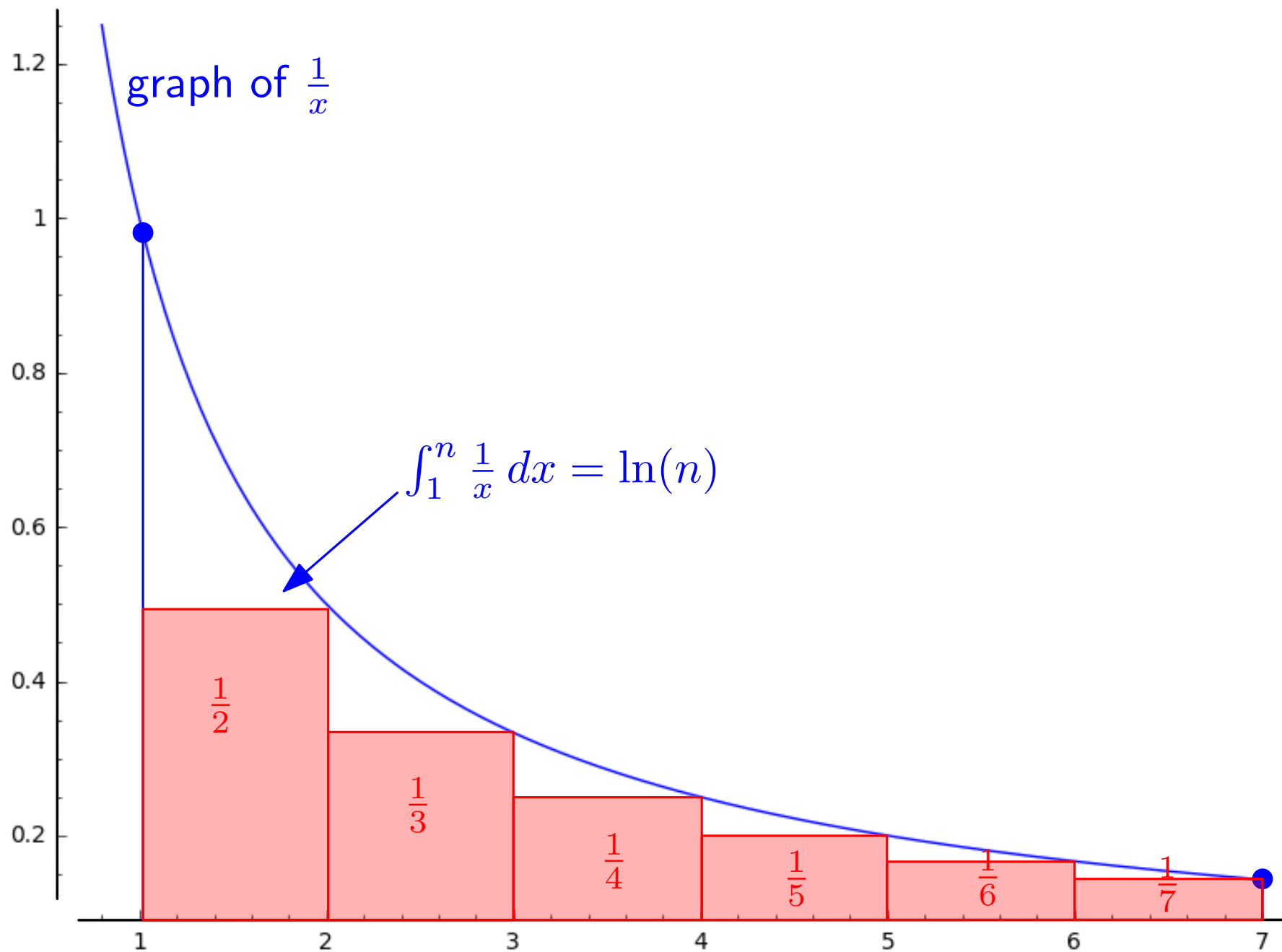
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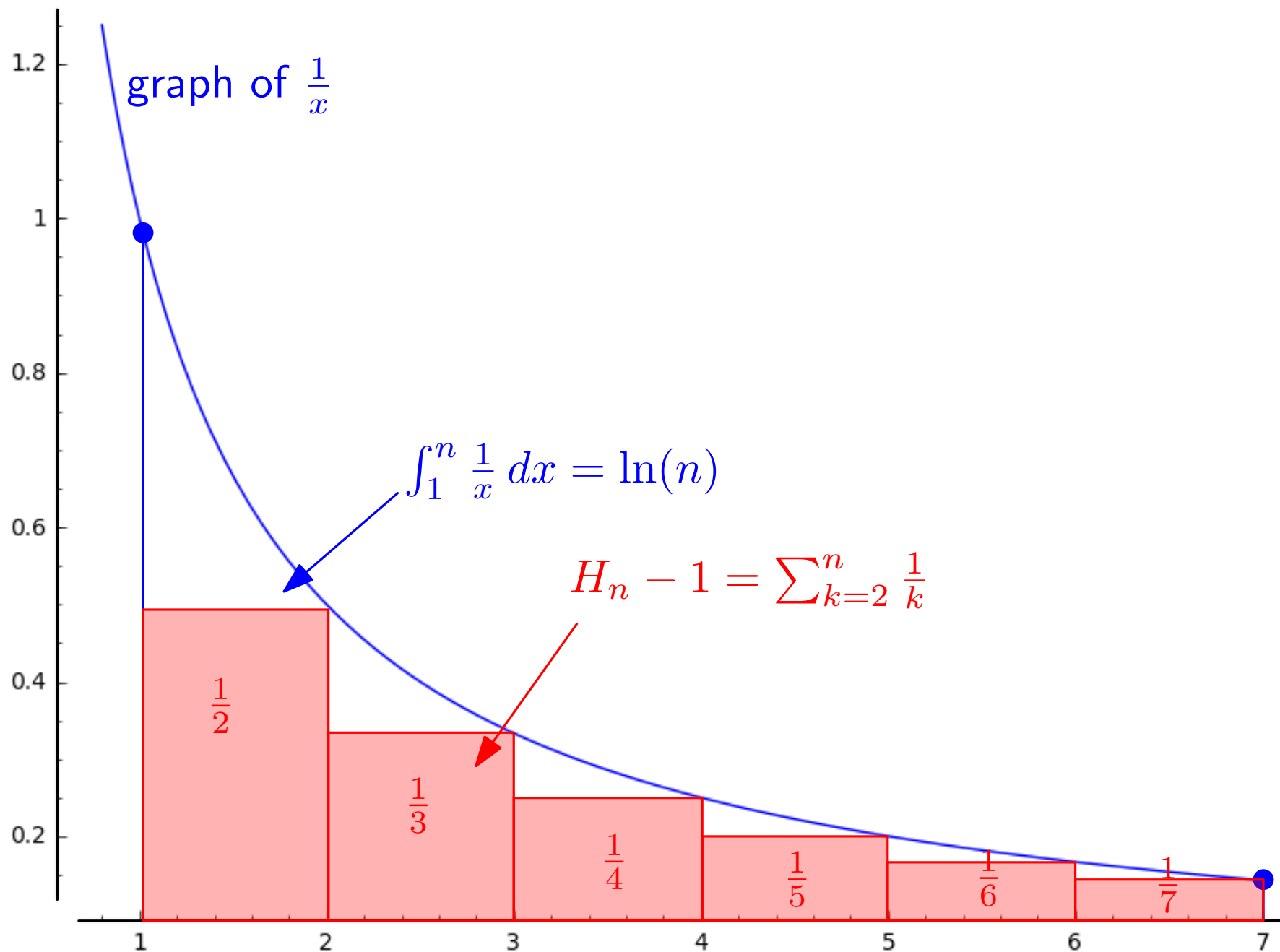
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
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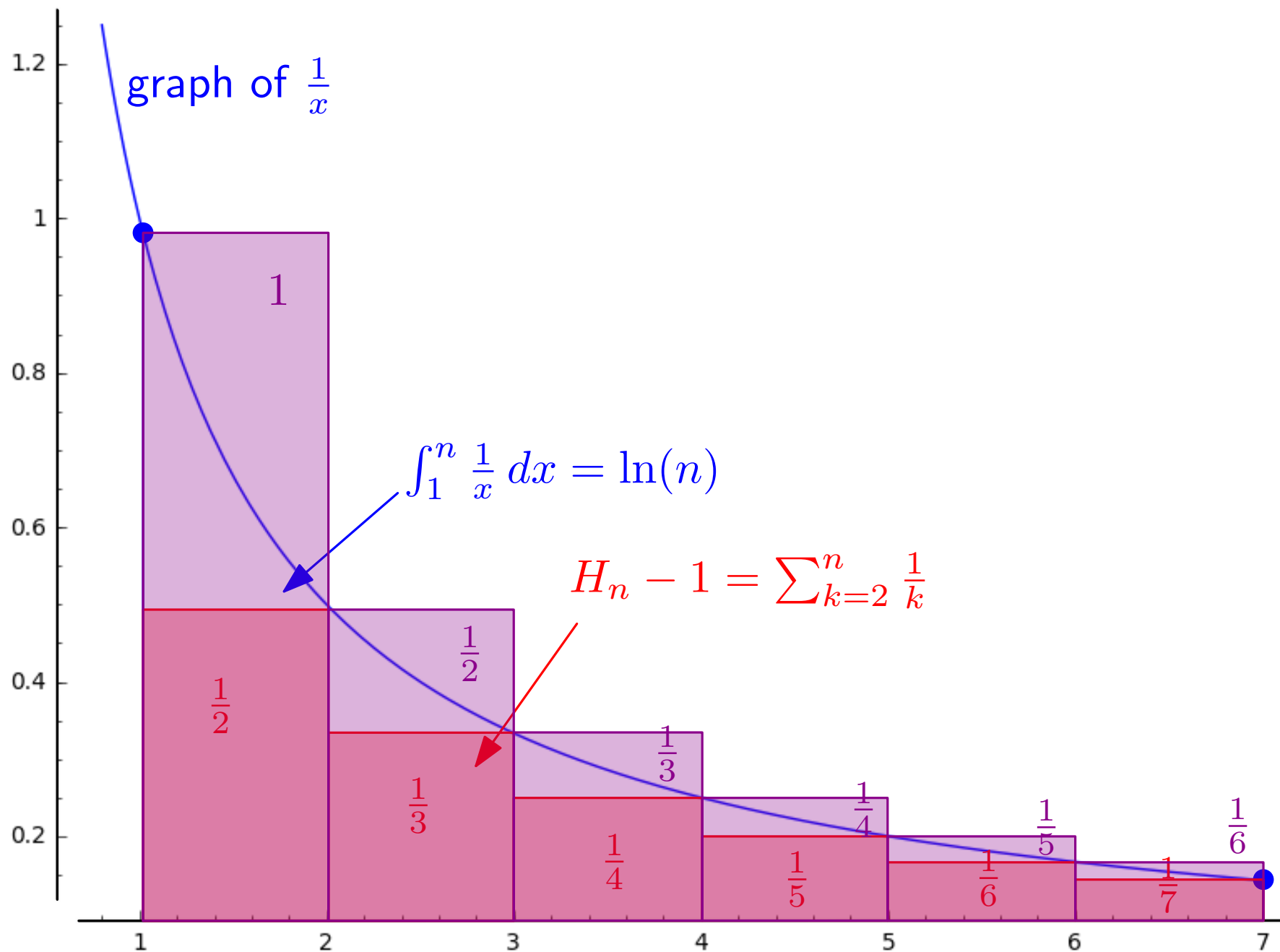
Google + Wikipedia: $H_n = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$ is the n^{th} harmonic number, and $H_n \approx \ln(n) + 0.5772156649$.

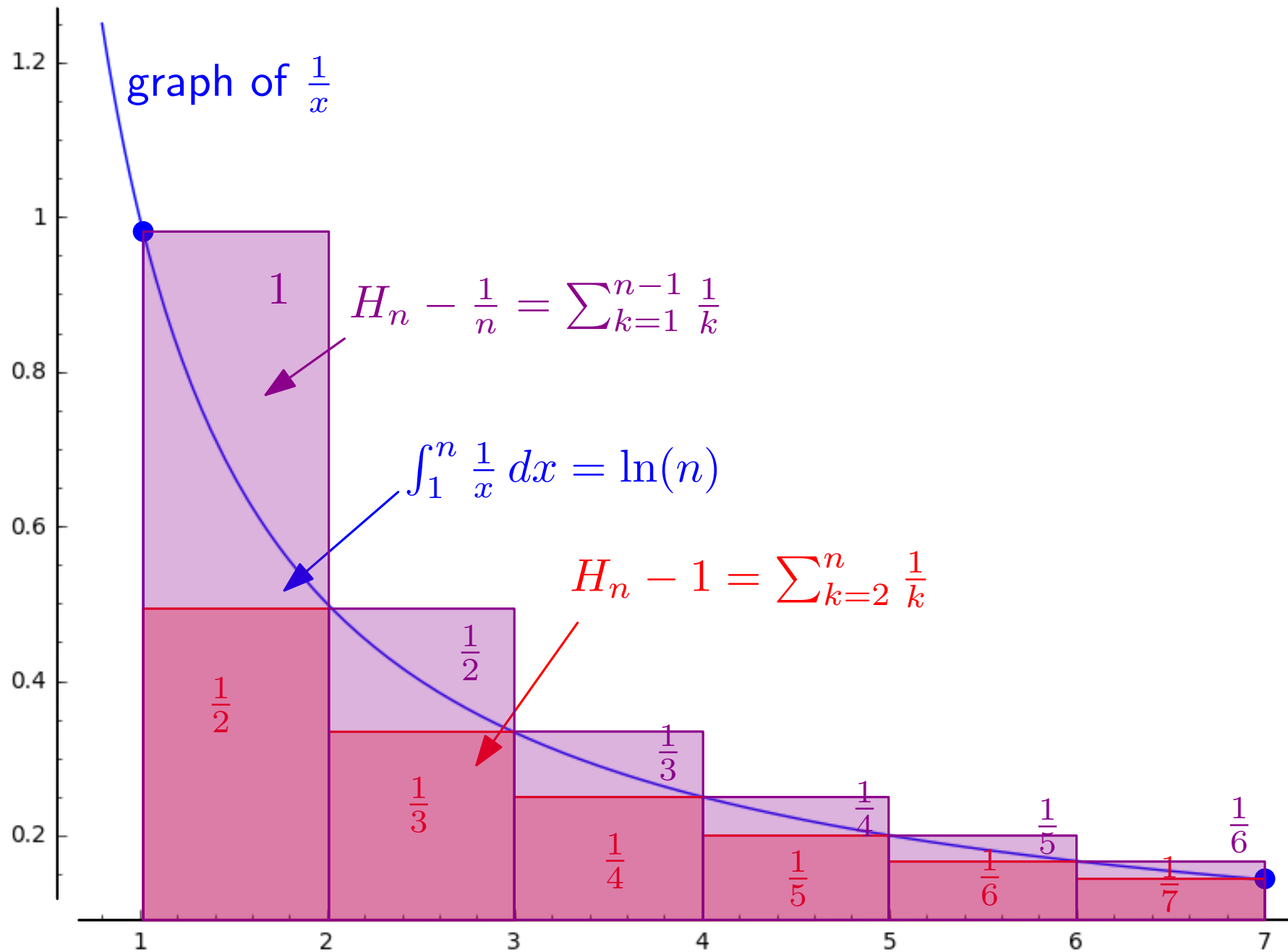


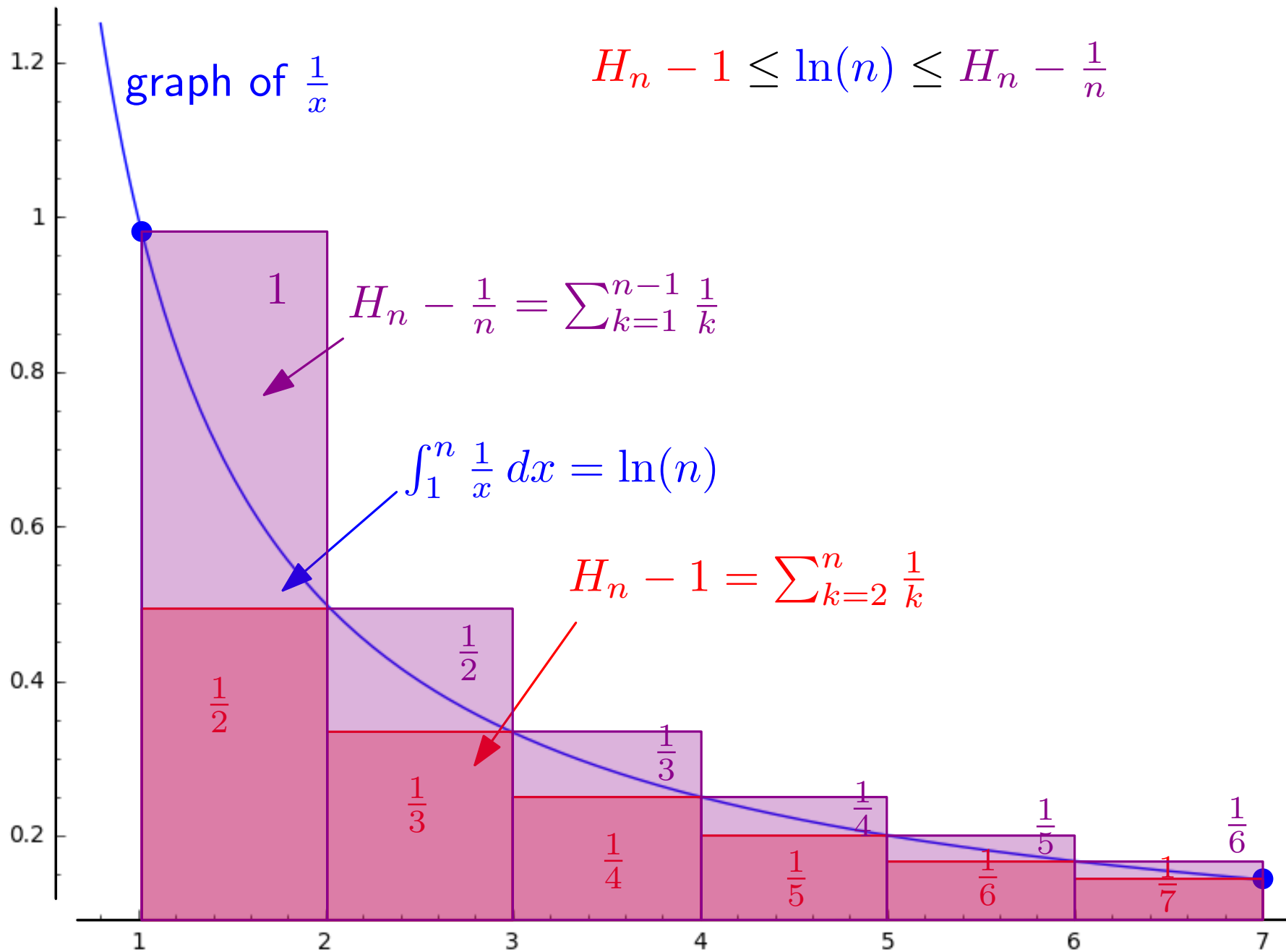


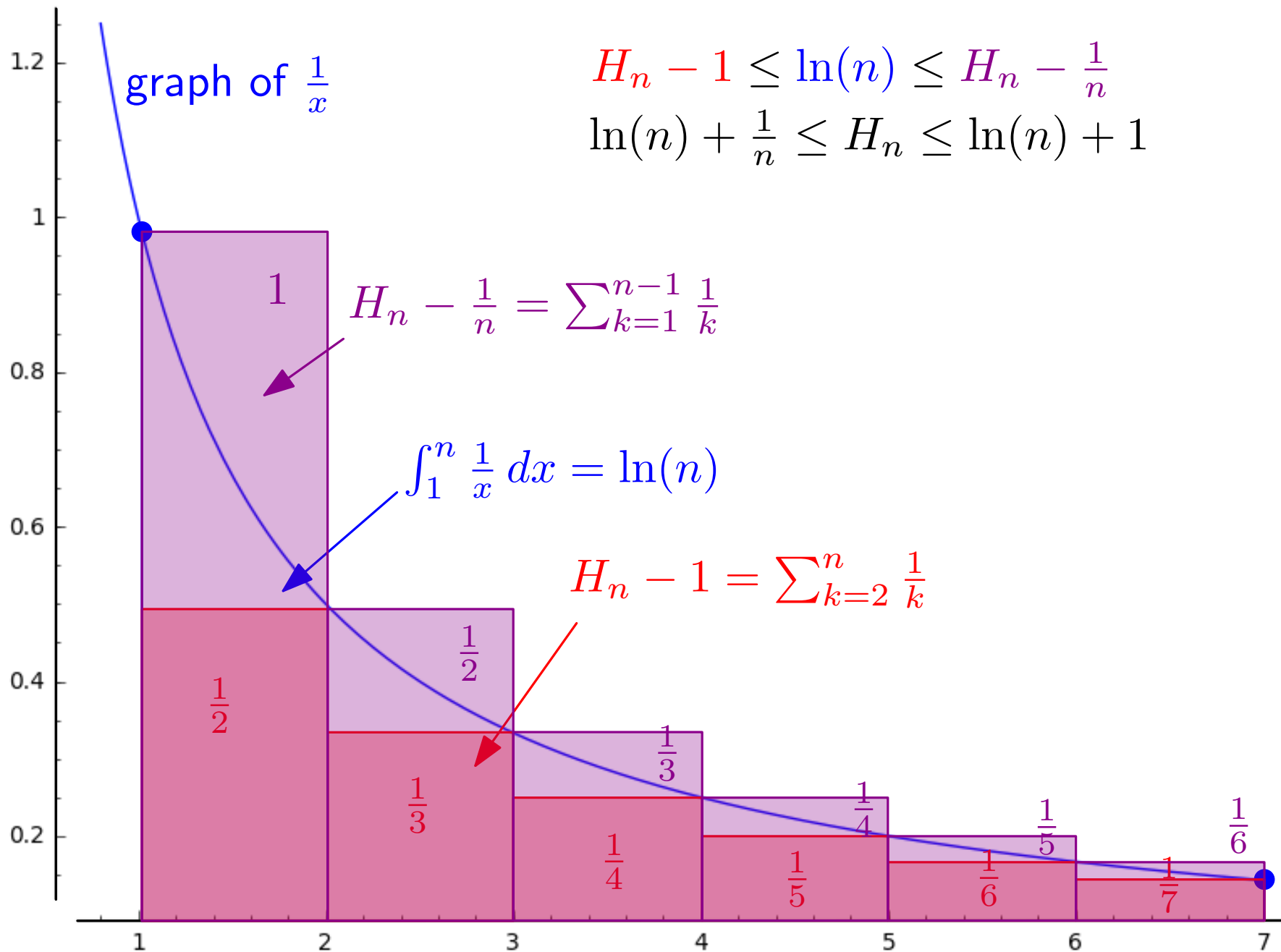


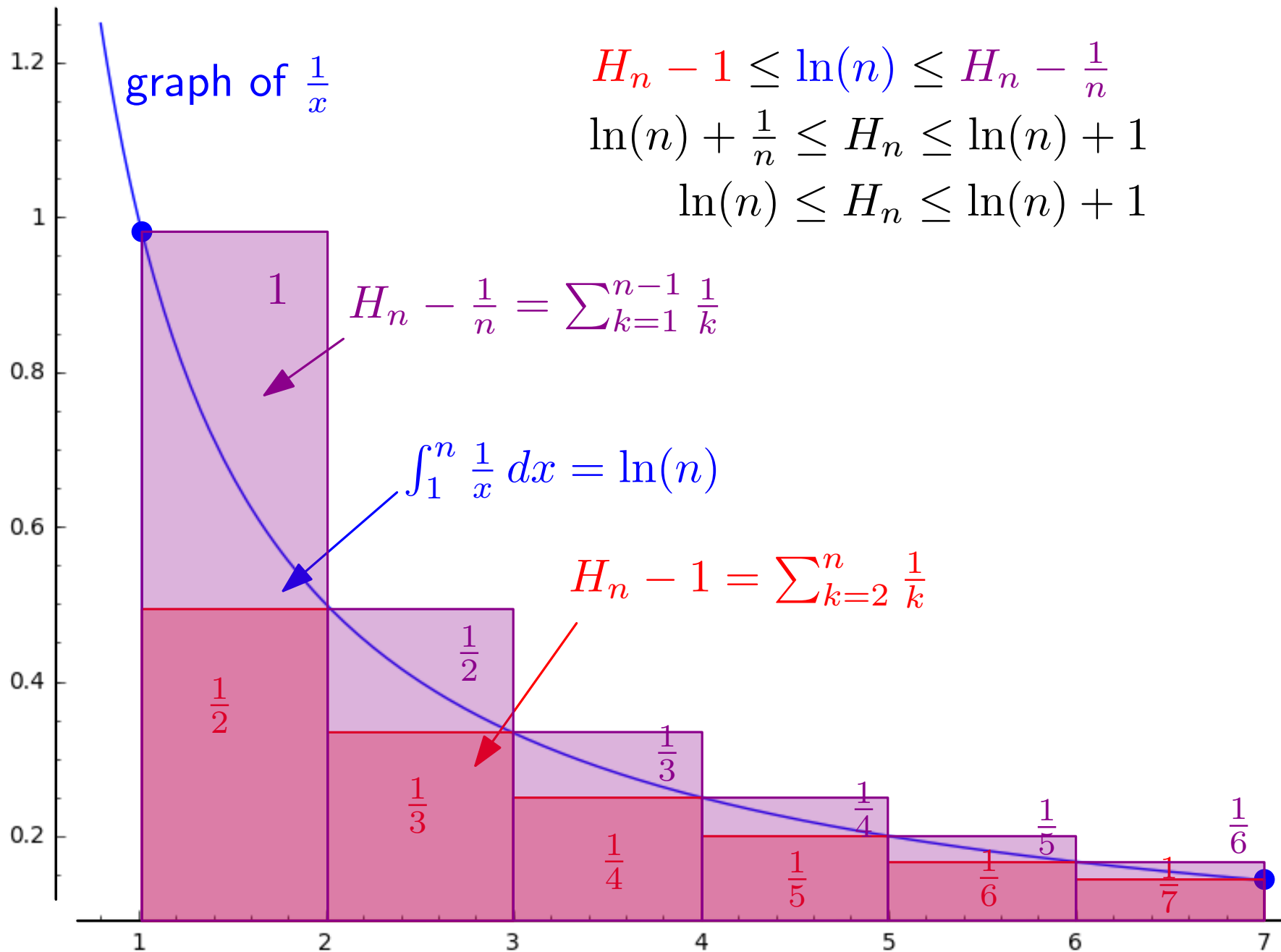












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Theorem. The expected number of comparisons made by QuickSort on an array with n elements is at most $2n \ln(n)$.

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Theorem. The expected number of comparisons made by QuickSort on an array with n elements is **at most** $2n \ln(n)$.

Maybe it's much better?

Let's try to compute it exactly!

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$$= 2 \cdot \sum_{i=1}^n \sum_{j=1}^{i-1} \frac{1}{i-j+1}$$

Change of indices: let's write $k := i - j + 1$. When j runs from 1 up to $i - 1$, k runs from i down to 2.

$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k}$$

$$\leq 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k}$$

$$\leq 2n \sum_{k=2}^n \frac{1}{k} \leq 2n \ln(n) .$$

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| 1 | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ | $\frac{1}{7}$ |
| 2 | $\frac{1}{2}$ | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ | $\frac{1}{6}$ |
| 3 | $\frac{1}{3}$ | $\frac{1}{2}$ | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ | $\frac{1}{5}$ |
| 4 | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ | $\frac{1}{4}$ |
| 5 | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | 1 | $\frac{1}{2}$ | $\frac{1}{3}$ |
| 6 | $\frac{1}{6}$ | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | 1 | $\frac{1}{2}$ |
| 7 | $\frac{1}{7}$ | $\frac{1}{6}$ | $\frac{1}{5}$ | $\frac{1}{4}$ | $\frac{1}{3}$ | $\frac{1}{2}$ | 1 |

Theorem. The expected number of comparisons made by QuickSort on an array with n elements is at most $2n \ln(n)$.

Maybe it's much better?

Let's try to compute it exactly!

$$\begin{aligned}\mathbf{E}[\text{number of comparisons}] &= \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1} \\ &= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k}\end{aligned}$$

$$\begin{aligned}
\mathbf{E}[\text{number of comparisons}] &= \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1} \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k} \\
&= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right)
\end{aligned}$$

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&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k}
\end{aligned}$$

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&= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right) \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k} \\
&= 2n(H_n - 1)
\end{aligned}$$

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&= 2n(H_n - 1)
\end{aligned}$$

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k}$$

$$= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right)$$

$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k}$$

$$= 2n(H_n - 1)$$

switch order of summation

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

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$$= 2n(H_n - 1)$$

switch order of summation

$$= 2 \cdot \sum_{i=1}^n \sum_{k=1}^n [k \geq i+1] \frac{1}{k}$$

Bracket notation: $[\text{cond}]$ is 1 when cond holds and 0 else.

Allows us to replace the “dynamic” start index $k = i + 1$ by the “static” start index $k = 1$ because all in $[1..i]$ is killed by $[k \geq i + 1]$ anyway.

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

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$$= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right)$$

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$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

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$$= 2 \cdot \sum_{k=1}^n \sum_{i=1}^{k-1} \frac{1}{k}$$

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$$= 2 \cdot \sum_{k=1}^n \sum_{i=1}^{k-1} \frac{1}{k}$$

$$= 2 \cdot \sum_{k=1}^n \frac{k-1}{k}$$

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

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$$= 2 \cdot \sum_{k=1}^n \sum_{i=1}^{k-1} \frac{1}{k}$$

$$= 2 \cdot \sum_{k=1}^n \frac{k-1}{k} = 2 \cdot \sum_{k=1}^n \left(1 - \frac{1}{k}\right)$$

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

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$$= 2n(H_n - 1)$$

switch order of summation

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$$= 2n - 2 \sum_{k=1}^n \frac{1}{k}$$

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$$= 2 \cdot \sum_{k=1}^n \frac{k-1}{k} = 2 \cdot \sum_{k=1}^n \left(1 - \frac{1}{k}\right)$$

$$= 2n - 2 \sum_{k=1}^n \frac{1}{k} = 2n - 2H_n$$

$$\begin{aligned}
\mathbf{E}[\text{number of comparisons}] &= \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1} \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k} \\
&= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right) && = 2n - 2H_n \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k} \\
&= 2n(H_n - 1)
\end{aligned}$$

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\mathbf{E}[\text{number of comparisons}] &= \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1} \\
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&= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right) && = 2n - 2H_n \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k} \\
&= 2n(H_n - 1) \\
&= 2n(H_n - 1) - (2n - 2H_n)
\end{aligned}$$

$$\mathbf{E}[\text{number of comparisons}] = \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1}$$

$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k}$$

$$= 2 \cdot \sum_{i=1}^n \left(\sum_{k=2}^n \frac{1}{k} - \sum_{k=i+1}^n \frac{1}{k} \right) = 2n - 2H_n$$

$$= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k}$$

$$= 2n(H_n - 1)$$

$$= 2n(H_n - 1) - (2n - 2H_n)$$

$$= 2nH_n - 4n + 2H_n$$

$$\begin{aligned}
\mathbf{E}[\text{number of comparisons}] &= \sum_i \sum_{j \neq i} \mathbf{E}[A_{i,j}] = \sum_i \sum_{j \neq i} \frac{1}{|i-j|+1} \\
&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^i \frac{1}{k} \\
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&= 2 \cdot \sum_{i=1}^n \sum_{k=2}^n \frac{1}{k} - 2 \cdot \sum_{i=1}^n \sum_{k=i+1}^n \frac{1}{k} \\
&= 2n(H_n - 1) \\
&= 2n(H_n - 1) - (2n - 2H_n) \\
&= 2nH_n - 4n + 2H_n
\end{aligned}$$

Theorem. The expected number of comparisons made by QuickSort on an array with n elements is exactly $2nH_n - 4n + 2H_n$. [Provided the array has no duplicate elements, in which case there might be fewer.]

QuickSelect

```
def quickselect(array, k):
    n = len(array)
    if (n == 1):
        return array[0]

    pivot = array[0]

    left = [x for x in array if x < pivot]
    same = [x for x in array if x == pivot]
    right = [x for x in array if x > pivot]

    if (k <= len(left)):
        return quickselect(left, k)
    elif (k <= len(left) + len(same)):
        return pivot
    else:
        return quickselect(right, k - len(left) - len(same))
```

```
def quickselect(array, k):  
    n = len(array)  
    if (n == 1):  
        return array[0]
```

Like Quicksort, but we only explore
the part containing k

```
    pivot = array[0]
```

```
    left = [x for x in array if x < pivot]  
    same = [x for x in array if x == pivot]  
    right = [x for x in array if x > pivot]
```

```
    if (k <= len(left)):  
        return quickselect(left, k)  
    elif (k <= len(left) + len(same)):  
        return pivot  
    else:  
        return quickselect(right, k - len(left) - len(same))
```

