

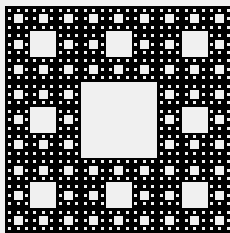
# Construction of self-similar energy forms and self-similar energy measures on the Sierpinski carpet

Ryosuke Shimizu

Waseda University (JSPS Research Fellow PD\*)

Joint work with Mathav Murugan (The University of British Columbia)

Fractal Geometry and Stochastics 7



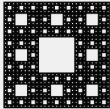
# $L^p$ -type energy functionals ( $1 < p < \infty$ )

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$p$ -energy functional:  $\mathcal{E}_p(u) := \int_{\mathbb{R}^n} |\nabla u|^p dx$

$(1, p)$ -Sobolev s.p.:  $\mathcal{F}_p := \{u \in L^p \mid \mathcal{E}_p(u) < \infty\}$

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Q. Counterpart of  $(\mathcal{E}_p, \mathcal{F}_p, \mu_{\langle \cdot \rangle}^p)$  on   $=: K$  ?

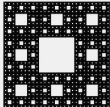
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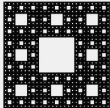
Thm.  $\forall p \in (1, \infty) \exists$  nice  $(\mathcal{E}_p, \mathcal{F}_p, \mu_{\langle \cdot \rangle}^p)$  on  $K$ .

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Previous results: [Kigami'23, S.'24'] for  $p > d_{\text{ARC}}$ ;

$d_{\text{ARC}}$ : Ahlfors regular conformal dimension

# Ahlfors regular conformal dimension

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◇  $(K, d)$ : metric space,  $A \subset X$ ,  $p > 0$ .

$$\mathcal{H}_d^p(A) = \lim_{\varepsilon \rightarrow 0} \inf \left\{ \sum_i |U_i|_d^p \mid A \subset \bigcup_i U_i, |U_i|_d < \varepsilon \right\},$$

where  $|U_i|_d = \sup_{x,y \in U_i} d(x, y)$ .

**NB.**  $\dim_{\text{H}}(K, d^\varepsilon) = \varepsilon^{-1} \dim_{\text{H}}(K, d)$ .

◇  $d_{\text{ARC}}(K, d) := \inf \{ p \mid \exists \theta \underset{\text{QS}}{\sim} d, \mathcal{H}_\theta^p(B_\theta(x, r)) \asymp r^p \}$

◇  $d \underset{\text{QS}}{\sim} \theta \stackrel{\text{def.}}{\iff} \exists \eta: \mathbb{R}_+ \rightarrow \mathbb{R}_+ \text{ homeo. s.t.}$

$$\frac{\theta(x, y)}{\theta(x, z)} \leq \eta \left( \frac{d(x, y)}{d(x, z)} \right) \quad \forall x, y, z \in X \text{ w/ } x \neq z.$$

# Attainment problem of $d_{\text{ARC}}$

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$$1 + \frac{\log 2}{\log 3} \leq d_{\text{ARC}}(\text{Sierpinski}) < \frac{\log 8}{\log 3}.$$

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Q. Is  $\inf$  attained? (Talk by R. Anttila)

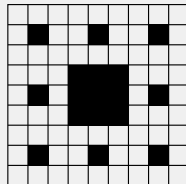
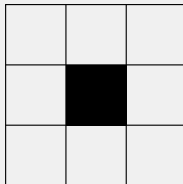
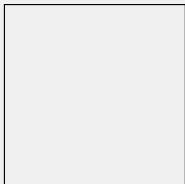
Conj. [Kajino/Murugan'23, Invent.] IF  $\inf$  is attained

$\Rightarrow \exists h \in \mathcal{F}_p$ : " $p$ -harm. fcn." s.t.  $\mathcal{H}_\theta^p \asymp \mu_{\langle h \rangle}^p$ ,  
where  $p = d_{\text{ARC}}$ ,  $\theta$ : a metric attaining  $d_{\text{ARC}}$ .

# How to get $p$ -energy forms?

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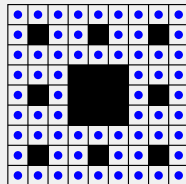
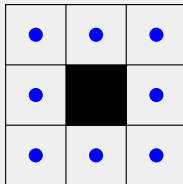
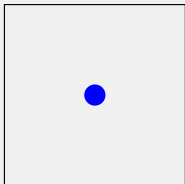
◇ To get  $\mathcal{F}_p$ , find a candidate  $\hat{\mathcal{E}}_p$  via  $\{G_n\}_{n \in \mathbb{N}}$ :





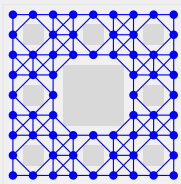
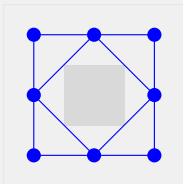
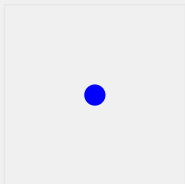
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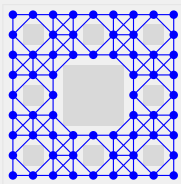
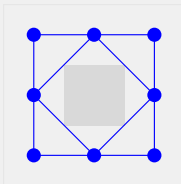
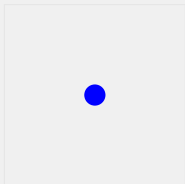
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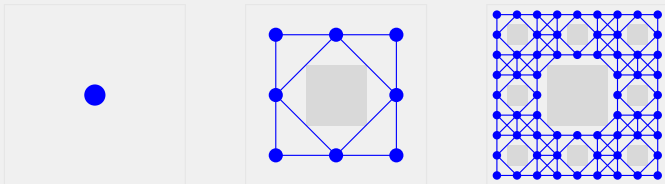


$m$ :  $\frac{\log 8}{\log 3}$ -dim. Hausdorff measure w/  $m(K) = 1$ .

$f_n(w) := \frac{1}{m(K_w)} \int_{K_w} f dm$ ,  $w \in \mathbb{G}_n$ ,  $f \in L^p(K, m)$ .

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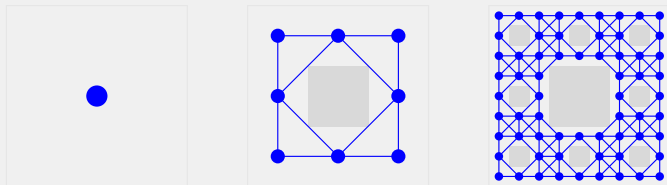
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$\mathcal{F}_p := \{f \in L^p(K, m) \mid \widehat{\mathcal{E}}_p(f) < \infty\}$ .

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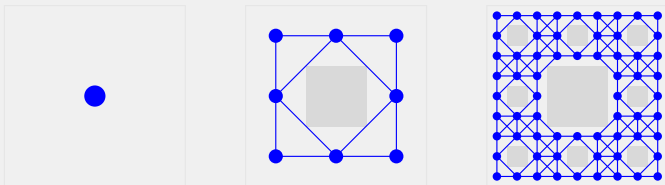


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Idea [Kusuoka/Zhou'92, PTRF]: Find  $\{r_n\}_{n \in \mathbb{N}}$  so that

$$\overline{\lim}_{n \rightarrow \infty} r_n \mathcal{E}_p^{\mathbb{G}_n} \asymp \underline{\lim}_{n \rightarrow \infty} r_n \mathcal{E}_p^{\mathbb{G}_n} \asymp \sup_{n \in \mathbb{N}} r_n \mathcal{E}_p^{\mathbb{G}_n}$$

# Correct scaling factor $\rho_p$

---

$$\diamond \mathcal{C}_p^{(n)} := \inf \left\{ \mathcal{E}_p^{\mathbb{G}_n}(f) \mid f = \begin{matrix} 1 & \boxed{G_n} & 0 \end{matrix} \right\}, n \in \mathbb{N}.$$

[Bourdon/Kleiner'13, GGD]:  $\exists C \geq 1$  s.t.  $\forall k, l \in \mathbb{N}$ ,

$$C^{-1} \mathcal{C}_p^{(k)} \mathcal{C}_p^{(l)} \leq \mathcal{C}_p^{(k+l)} \leq C \mathcal{C}_p^{(k)} \mathcal{C}_p^{(l)}.$$

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$\rightsquigarrow \rho_p := \lim_{n \rightarrow \infty} (\mathcal{C}_p^{(n)})^{-1/n} \in (0, \infty)$  and

$$C^{-1} \rho_p^{-n} \leq \mathcal{C}_p^{(n)} \leq C \rho_p^{-n}, \quad \forall n \in \mathbb{N}.$$

Rmk. [Carrasco'13, Kigami'20]:  $\rho_p > 1 \Leftrightarrow p > d_{\text{ARC}}$

$$\diamond \tilde{\mathcal{E}}_p^n(f) := \rho_p^n \mathcal{E}_p^{\mathbb{G}_n}(f_n), \quad \hat{\mathcal{E}}_p(f) := \sup_{n \in \mathbb{N}} \tilde{\mathcal{E}}_p^n(f),$$



# Results of [Murugan/S.'23+]

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Hereafter,  $\forall p \in (1, \infty)$  is fixed.

Thm.  $\widehat{\mathcal{E}}_p \asymp \overline{\lim_{n \rightarrow \infty} \widetilde{\mathcal{E}}_p^n} \asymp \underline{\lim_{n \rightarrow \infty} \widetilde{\mathcal{E}}_p^n}$  on  $L^p(K, m)$ .

$\rightsquigarrow \mathcal{F}_p$  w/  $\|\cdot\|_{L^p} + (\widehat{\mathcal{E}}_p)^{1/p}$ : ref. sep. Banach s.p.

Moreover,  $\mathcal{F}_p \cap C(K) \underset{\text{dense}}{\subset} C(K) \ \& \ \mathcal{F}_p$ .

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Rmk.  $\mathcal{F}_p \cap C(K) \underset{\text{dense}}{\subset} C(K)$  is new for  $p \leq d_{\text{ARC}}$ !

NB. [Cao/Chen/Kumagai'24]:  $\mathcal{F}_p \subset C(K) \Leftrightarrow p > d_{\text{ARC}}$ .

★ **Mod. of path-families** to deal with  $p \leq d_{\text{ARC}}$ .

## Results of [Murugan/S.'23+] (contd.)

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$$d_{w,p} := \frac{\log(8\rho_p)}{\log 3} \quad (p\text{-walk dimension})$$

Thm.  $d_{w,p} > p$  ([S.24]) and  $\widehat{\mathcal{E}}_p \asymp \overline{\lim}_{r \downarrow 0} J_{p,r}$ ,

where

$$J_{p,r}(f) := \int_K \int_{B(x,r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx)$$

Moreover,  $\sup_{r>0} J_{p,r}(f) \lesssim \underline{\lim}_{r \downarrow 0} J_{p,r}(f)$ .

NB.  $K \ni x \mapsto d_{\text{Euc}}(x, x_0) \notin \mathcal{F}_p!$

# Results of [Murugan/S.'23+] (contd.)

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Thm.  $\exists(\mathcal{E}_p, \mathcal{F}_p, \mu_{\langle \cdot \rangle}^p)$  on  $K$ :

- $\mathcal{E}_p \asymp \widehat{\mathcal{E}}_p$  &  $\mathcal{E}_p(u \circ T) = \mathcal{E}_p(u) \forall (u, T) \in \mathcal{F}_p \times D_4$ .
- [Kajino/S.'24+]  $(\mathcal{E}_p, \mathcal{F}_p)$  satisfies **(GenCont)<sub>p</sub>**
- $\mathcal{F}_p \cap C(K) = \{u \in C(K) \mid u \circ F_i \in \mathcal{F}_p \forall i \in S\}$ ,
- &  $\mathcal{E}_p(u) = \rho_p^n \sum_{w \in \{1, \dots, 8\}^n} \mathcal{E}_p(u \circ F_w)$ .
- $\mu_{\langle u \rangle}^p(K_w) = \rho_p^n \mathcal{E}_p(u \circ F_w)$ .
- $\forall (u, \Psi) \in (\mathcal{F}_p \cap C(K)) \times C^1(\mathbb{R})$ , we have

$$\Psi \circ u \in \mathcal{F}_p, \quad d\mu_{\langle \Psi \circ u \rangle}^p = |\Psi'(u)|^p d\mu_{\langle u \rangle}^p.$$

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$\exists \theta$ : optimal metric w.r.t.  $d_{\text{ARC}}$ .

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Message: Optimal meas. should be  **$p$ -energy meas.!**

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Message: Optimal meas. should be  $p$ -energy meas.!

Conj.  $u$  can be reduced to a  $p$ -harmonic fcn.

Issue: Nonlinearity of  $p$ -harmonic fcn.

cf. [Kajino/Murugan'23] for the case  $p = 2$ .